

# Games & Equilibria

	KICK	Left	Right
DIVE			
Left			
Right			

Penalty Shot Game

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KICK	Left	Right
DIVE	+1 -1	
Right		

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Equilibria :

A pair of

strategies so that

no player has incentive

to deviate if the other

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Eg. Goal keeper

plays LEFT

& the opponent

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Eg. Goal keeper

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the goalkeeper has an  
incentive to deviate.



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Equilibria :

A pair of **randomized**

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Penalty Shot Game

play LEFT w/ prob. 1/2

ε RIGHT w/ prob 1/2.

opponent

# Games & Equilibria

(RIGHT  $\mapsto p$ , LEFT  $\mapsto 1-p$ )

goalkeeper

play LEFT w/ prob. 1/2

$\epsilon$  RIGHT w/ prob 1/2.

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opponent

Expected payoff:

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Penalty Shot Game

(RIGHT  $\mapsto p$ , LEFT  $\mapsto 1-p$ )

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Expected payoff:

RL

RR

LL

LR

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Expected payoff:

RL	RR
-1	+1
LL	LR
+1	-1

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play LEFT w/ prob.  $1/2$

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opponent

Expected payoff:

$$\begin{array}{ccc}
 \text{RL} & & \text{RR} \\
 \frac{p}{2} \text{ } \textcolor{red}{-1} & + & \frac{p}{2} \text{ } \textcolor{green}{+1} \\
 \text{LL} & & \text{LR} \\
 \frac{q}{2} \text{ } \textcolor{green}{+1} & + & \frac{q}{2} \text{ } \textcolor{red}{-1}
 \end{array}
 \quad (q=1-p)$$

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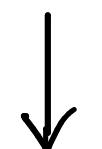
$$\begin{array}{ccccc}
 & \text{RL} & & \text{RR} & \\
 \frac{p}{2} & -1 & + \frac{p}{2} & +1 & = 0 \\
 & \text{LL} & & \text{LR} & \\
 \frac{q}{2} & +1 & + \frac{q}{2} & -1 &
 \end{array}$$

# players  $\rightsquigarrow [n]$

$\forall p \rightsquigarrow S_p$  (strategies available to player  $p$ )

$$u_p : S_1 \times S_2 \times \dots \times S_n \rightarrow \mathbb{R}$$

$$u_p(s_1, s_2, \dots, \underset{\uparrow}{s_p}, \dots, s_n)$$



$$2(p+x) - 4px - 1$$

$$\text{Left : } p \quad \text{Right : } q \quad p+q=1$$

$$\text{Suppose } x = \frac{1}{2} \rightsquigarrow 0$$

$$\text{Left : } x \quad \text{Right : } y \quad x+y=1$$

$$\text{Suppose } x = \frac{1}{4} \quad 2p - p - \frac{1}{2} = p - \frac{1}{2}$$

$$p = \frac{3}{4}$$

$$E(\text{payoff}) = p \times (-1) + py(+1) + qx(+1) + qy(-1) \Rightarrow \frac{1}{4}$$

$$= -px + p(1-x) + (1-p)x - (1-p)(1-x)$$

$$= (p+x) - 3px - \{ 1 - p - x + px \}$$

$$= 2(p+x) - 4px - 1$$

## Randomized Strategy

$S_p \rightsquigarrow$  Strategies available to player p.

$\Delta(S_p) \rightsquigarrow$  set of all distributions over  $S_p$ .

$$S_p = \{ a, b, c \}$$

$$\Delta(S_p) = \{ \langle p, q, r \rangle \mid p + q + r = 1 \}$$

# Expected Utilities

$$U_p(x_1, \dots, x_p, \dots, x_n) = \mathbb{E}_{\substack{s_1 \sim x_1 \\ \vdots \\ s_n \sim x_n}} U_p(s_1, \dots, s_n)$$

↓  
 $\in \Delta(S_p)$

## Nash Equilibrium

A collection  $(x_1, \dots, x_n)$  is a NASH EQUILIBRIUM

iff  $\forall p. U_p(x_1, \dots, x_n) \geq U_p(x_1, \dots, x'_p, \dots, x_n)$

$$\forall x'_p \in \Delta(S_p)$$

(assuming q plays  $x_q \neq x_p$ ,  
player p has no reason to not play  $x_p$ .)

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Right	-1 +1	+1 -1

two-player Zero-Sum game

DIVE	KICK	Left	Right
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(von Neumann, '28) A Nash Equilibrium always exists for two-player Zero-Sum games.

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(von Neumann, '28)

A **Nash Equilibrium**

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min-max  
equilibrium

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(Nash, '50) An equilibrium exists for every game

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(von Neumann, '28) A Nash Equilibrium always exists for

two-player Zero-Sum games

(Nash, '50) An equilibrium exists for every\* game (\* finite # of players)

(Brouwer, 1910) Let  $f: D \rightarrow D$  be a  
continuous function  
from a convex and compact subset  $D$   
(closed & bounded)  
of the Euclidean space to itself.

Then  $\exists$  an  $x \in D$  such that  $f(x) = x$

Brouwer  $\Rightarrow$  Nash.

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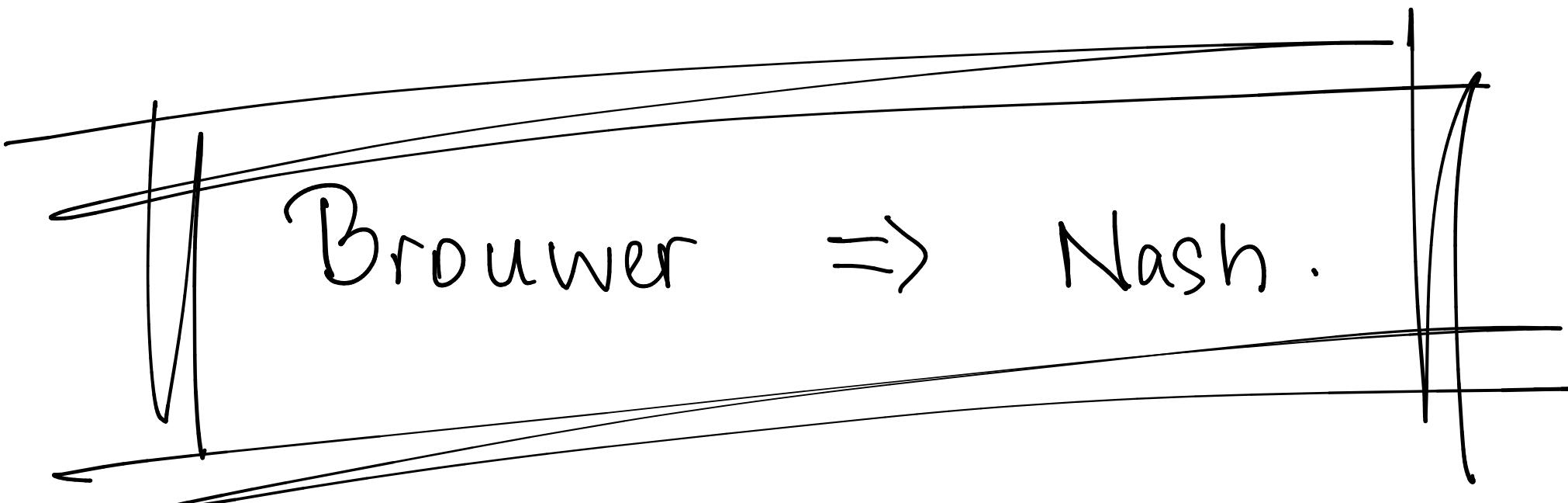


$$f: [0,1]^2 \rightarrow [0,1]^2$$

Continuous, So that

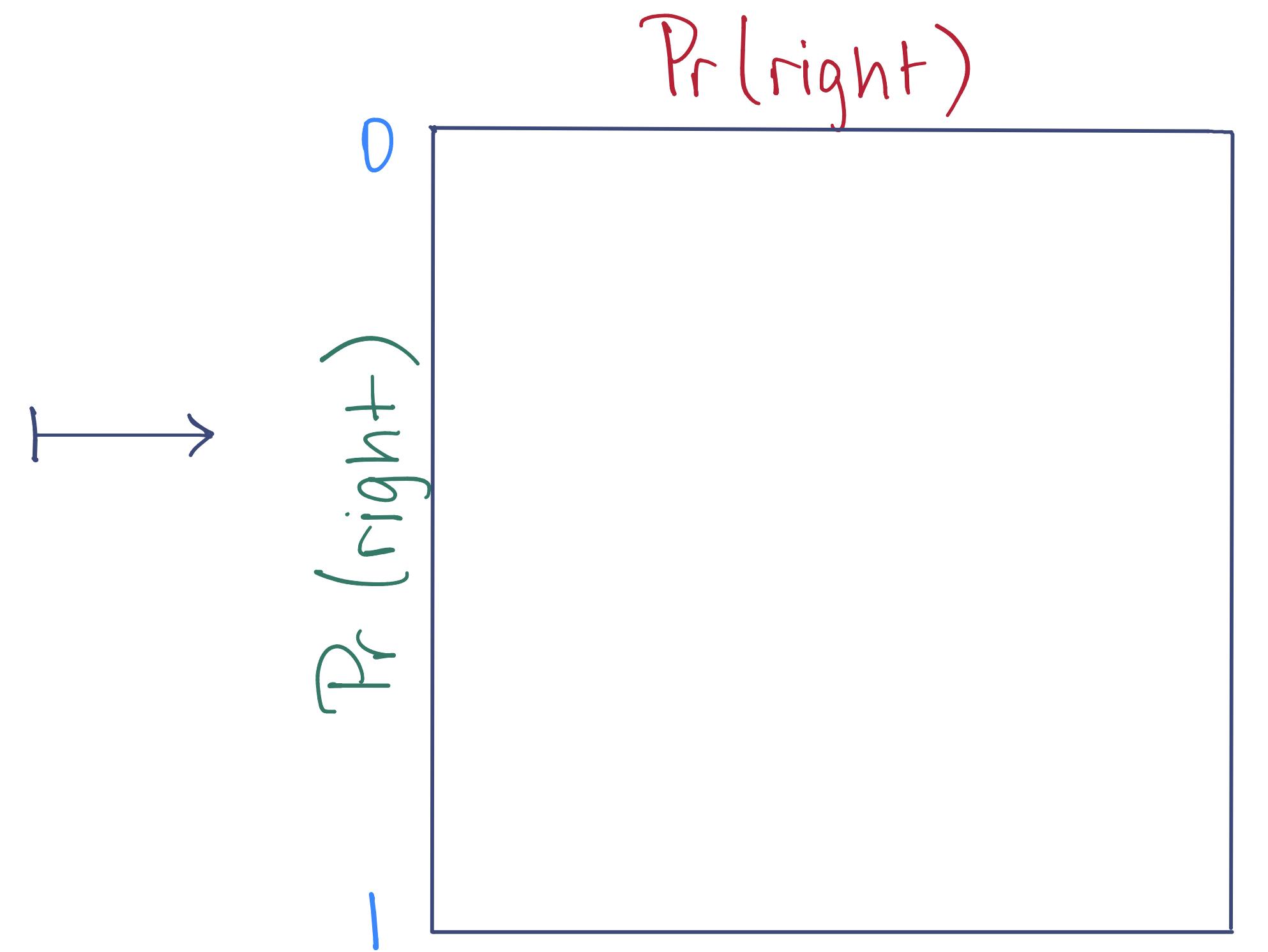
the fixed points  
correspond to NE.

Penalty Shot Game


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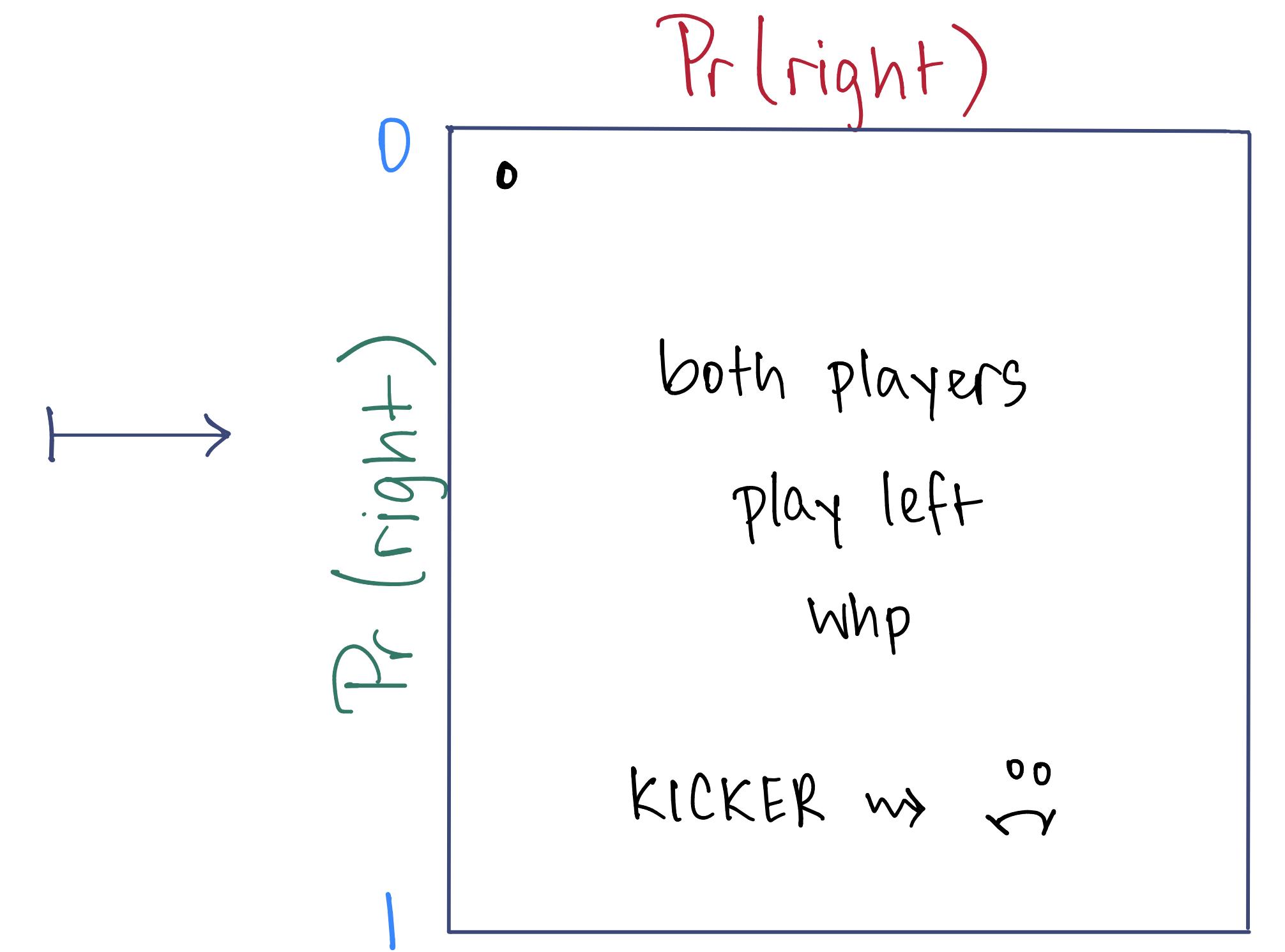
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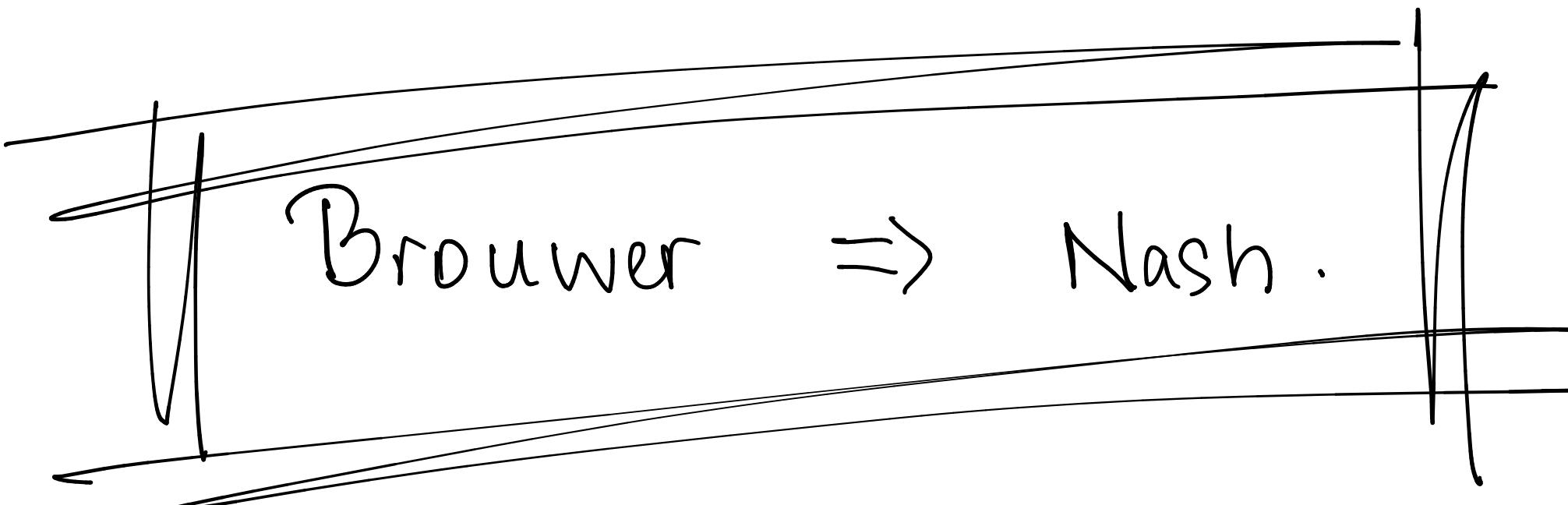


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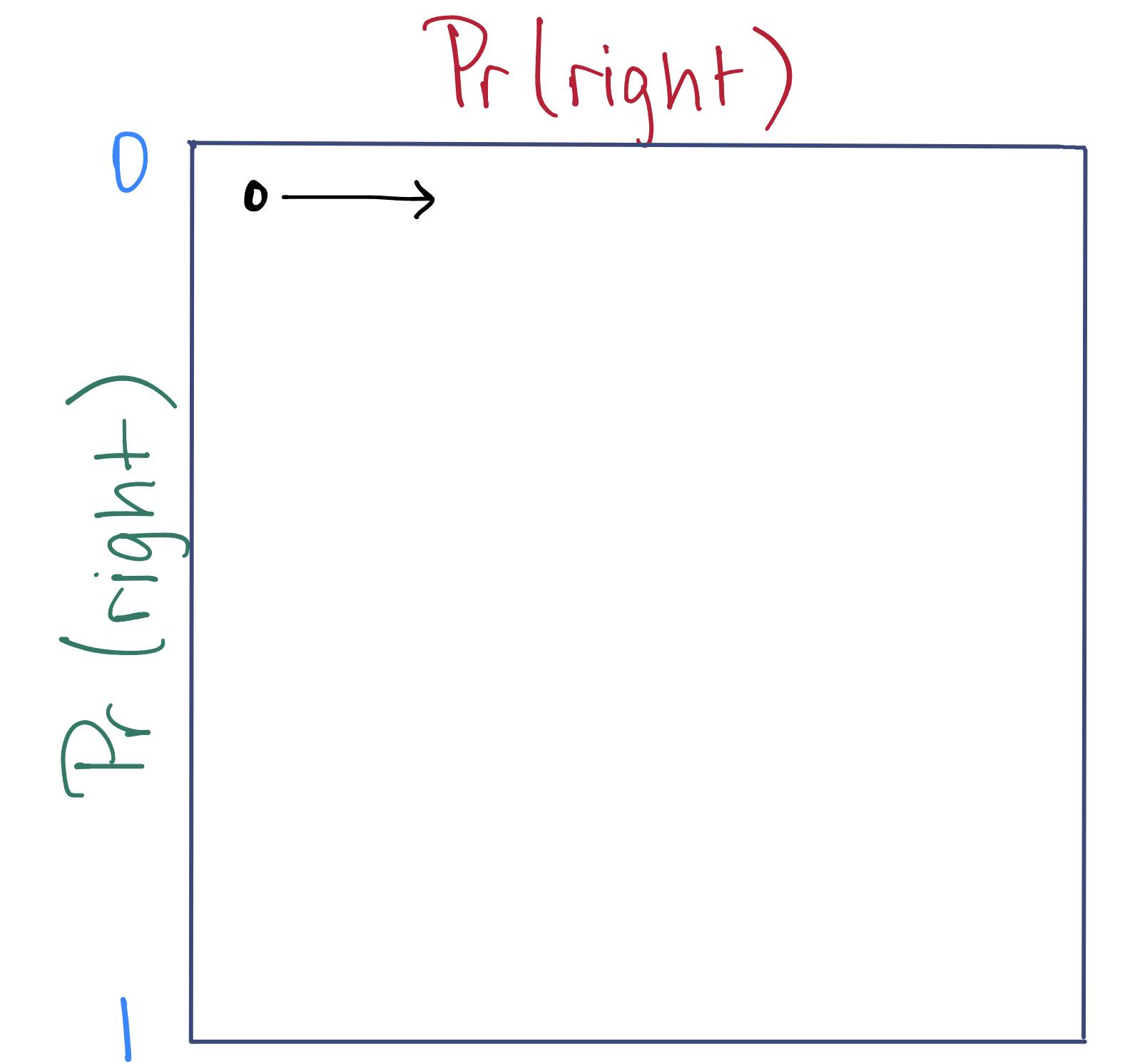
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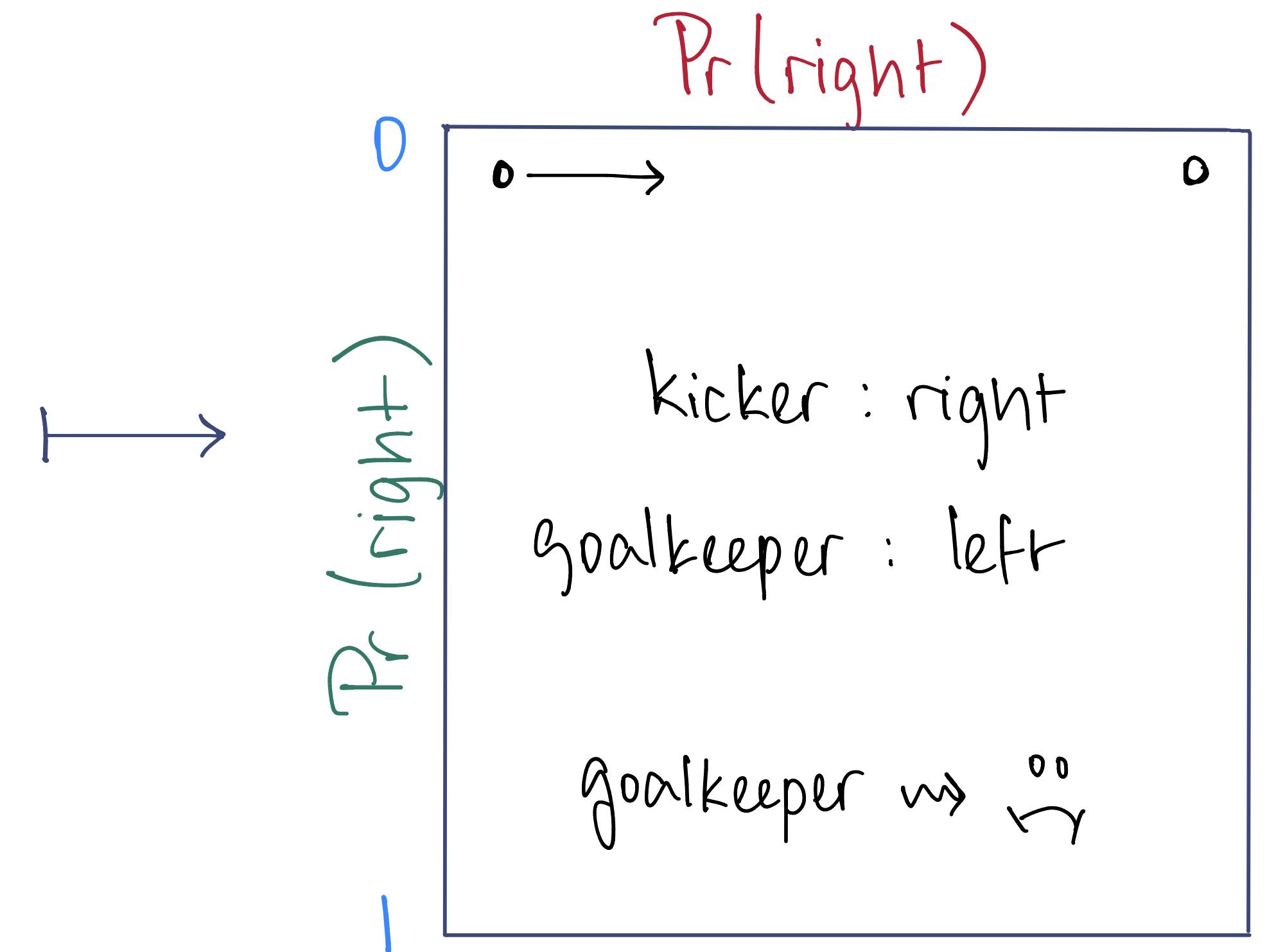


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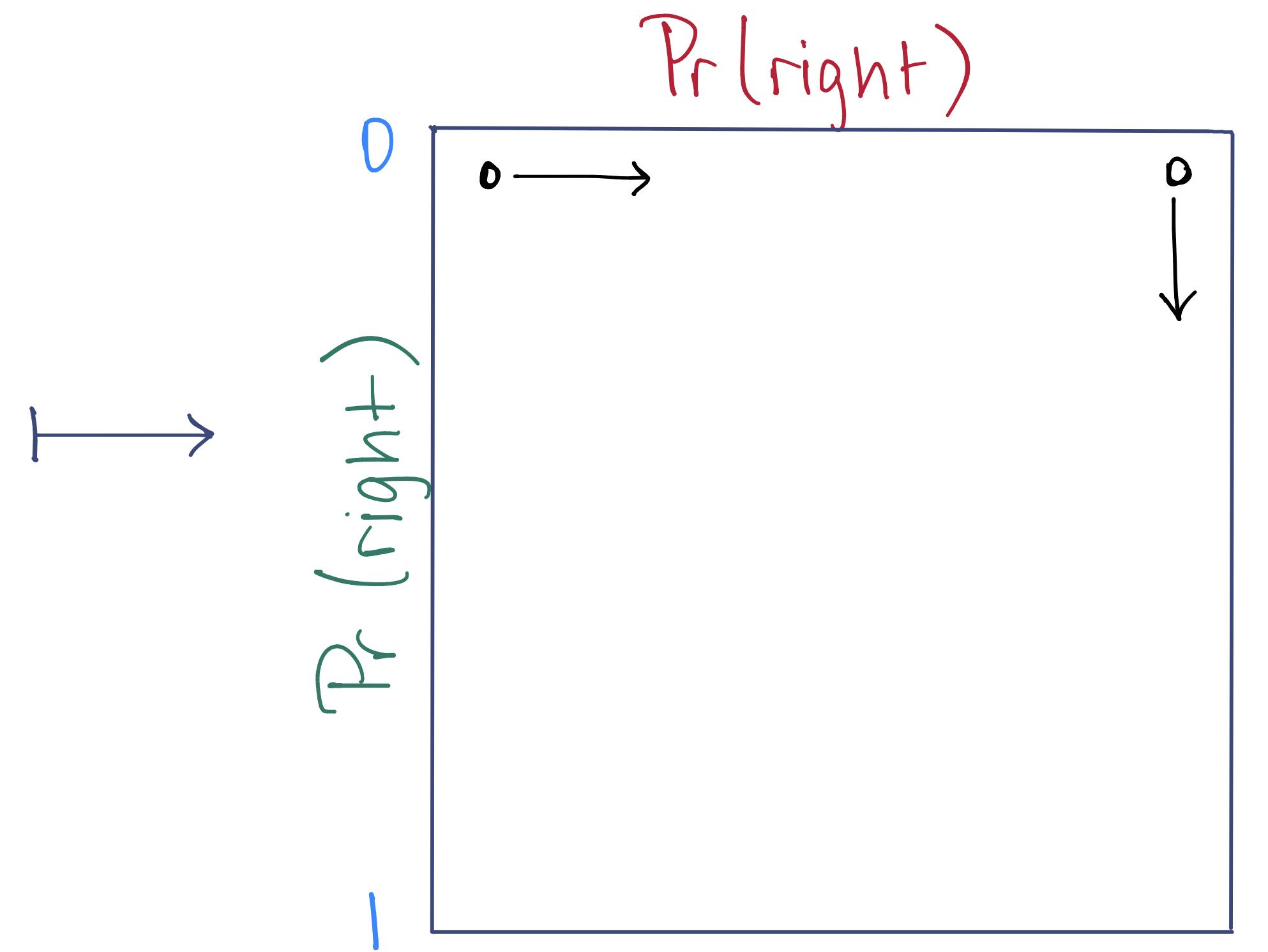
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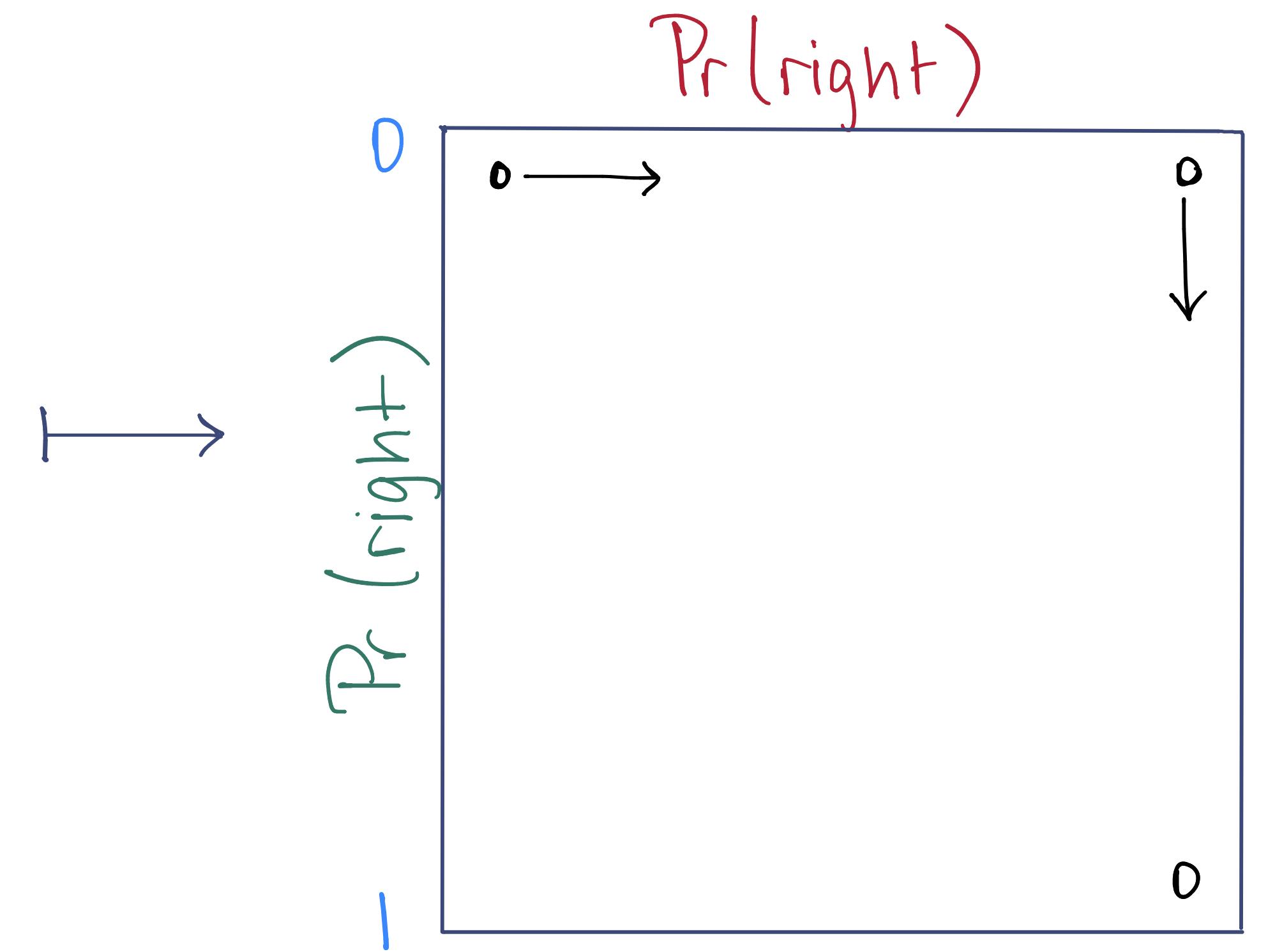
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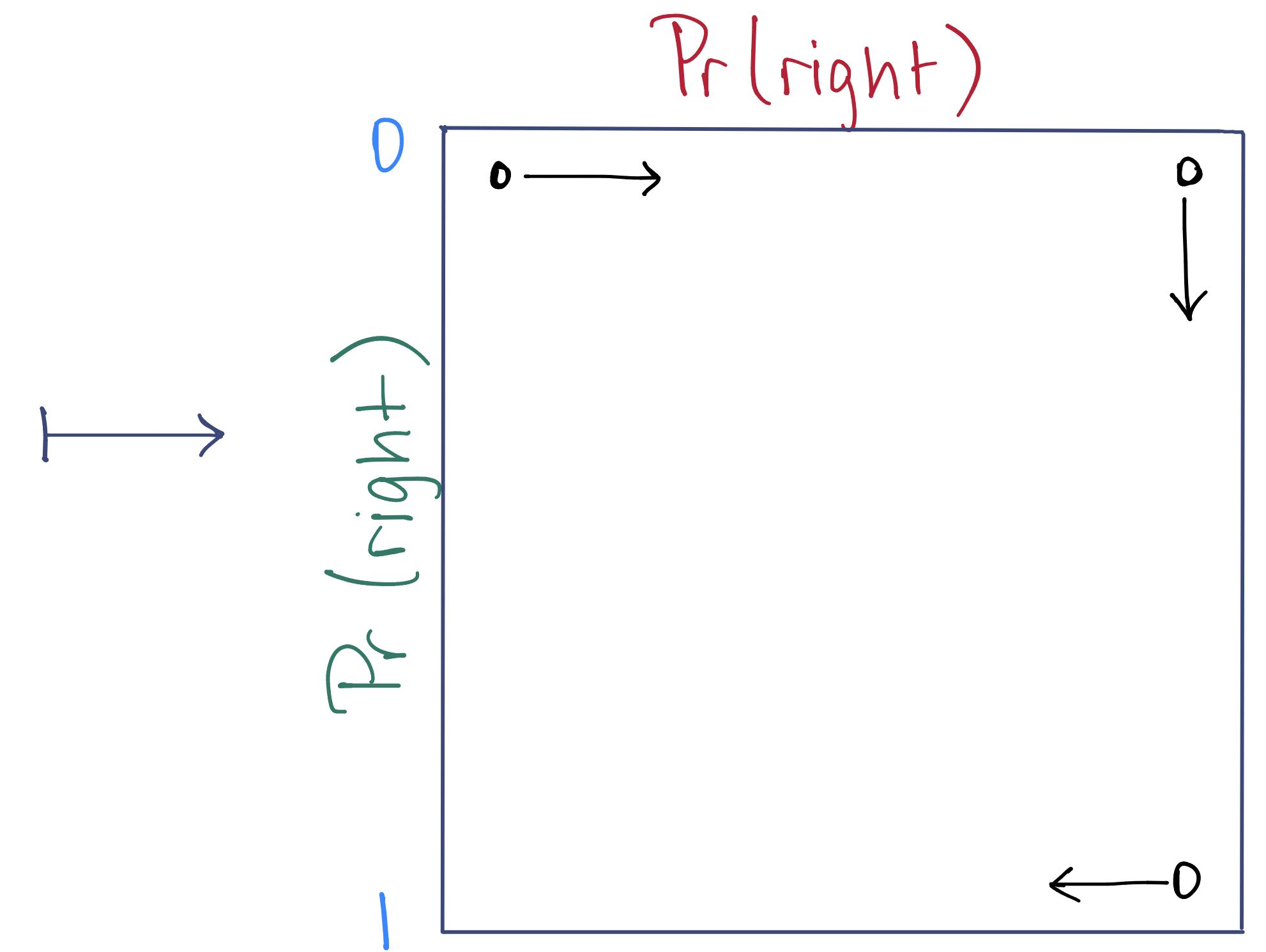
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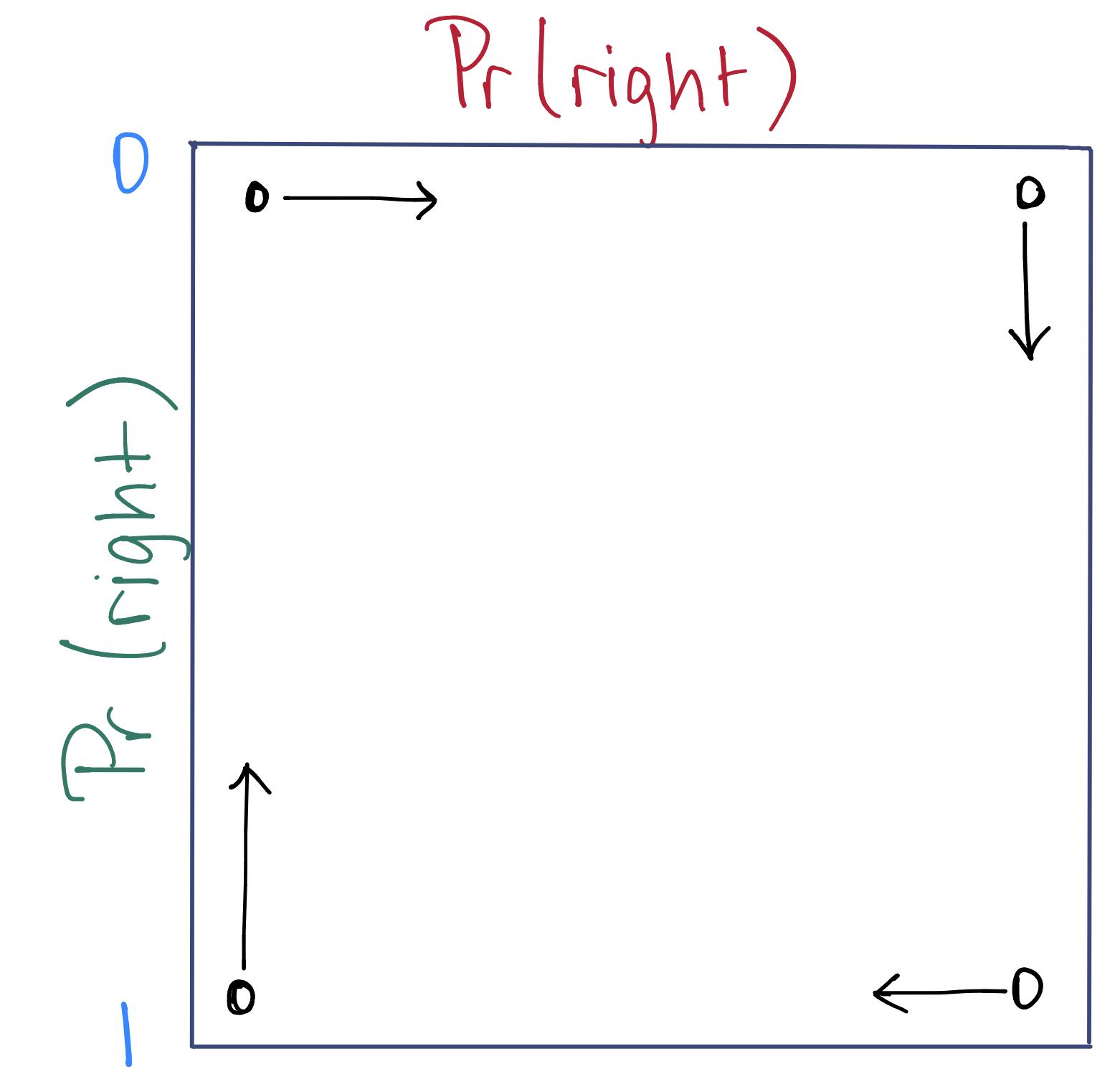


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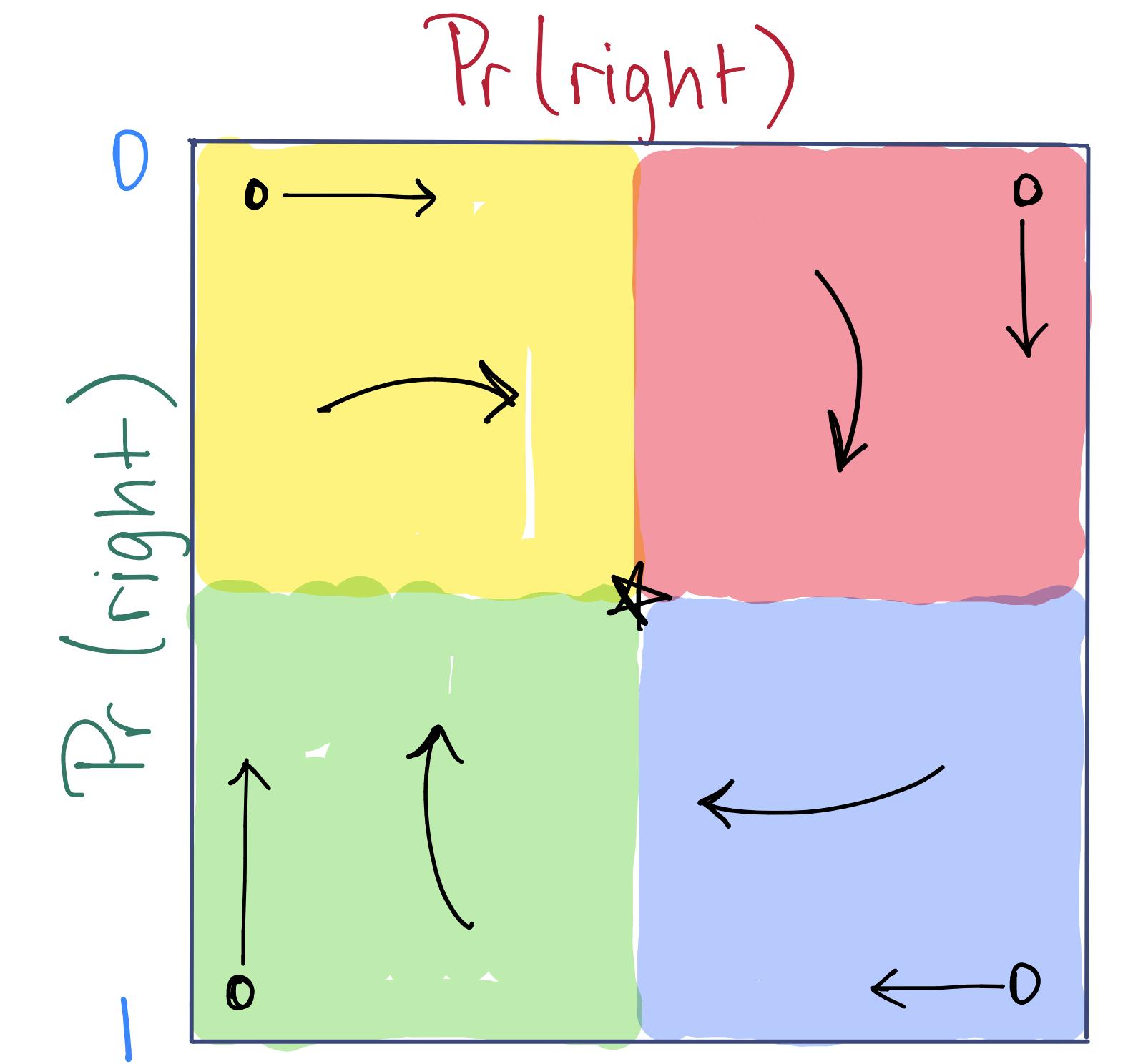


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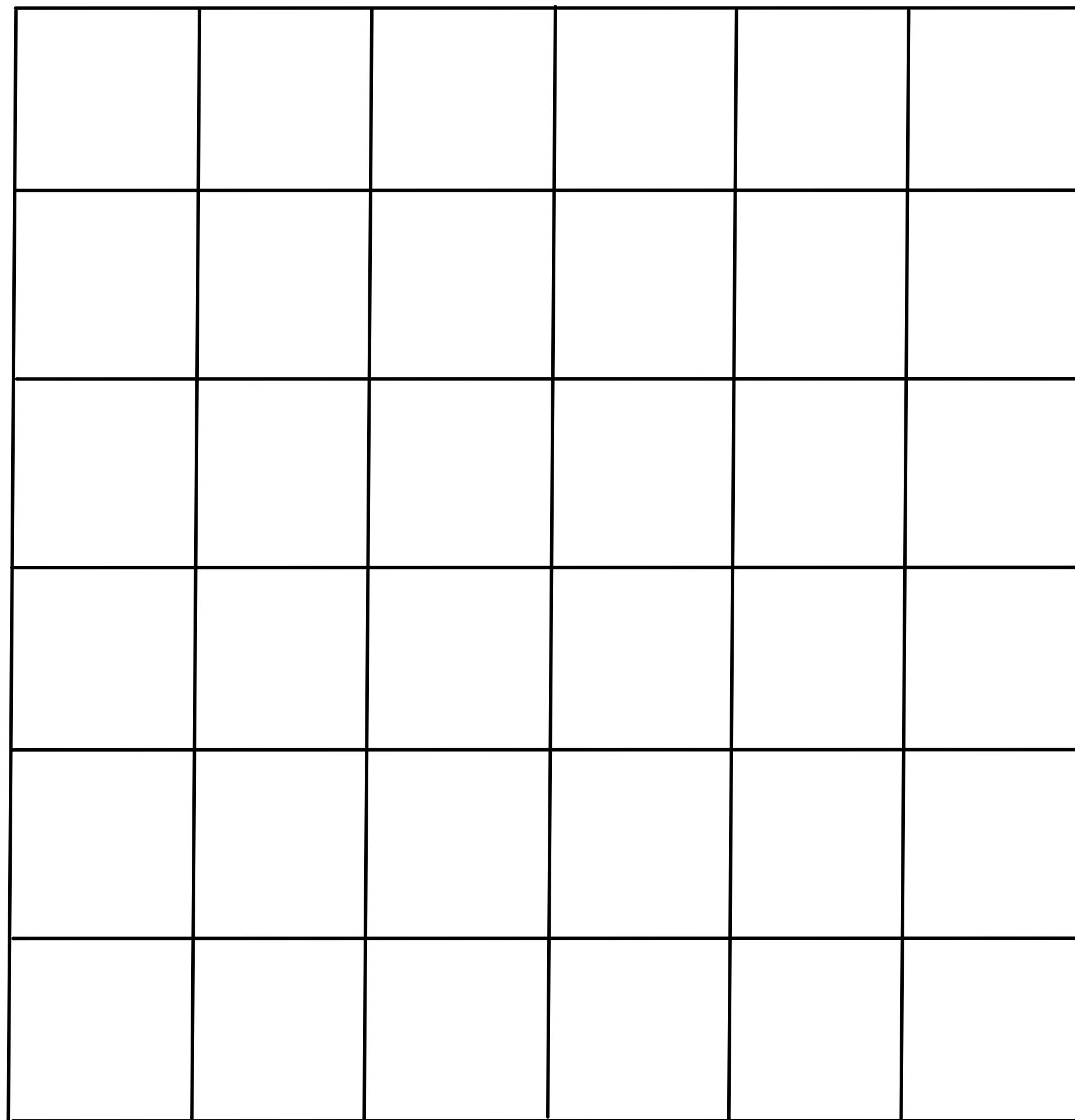
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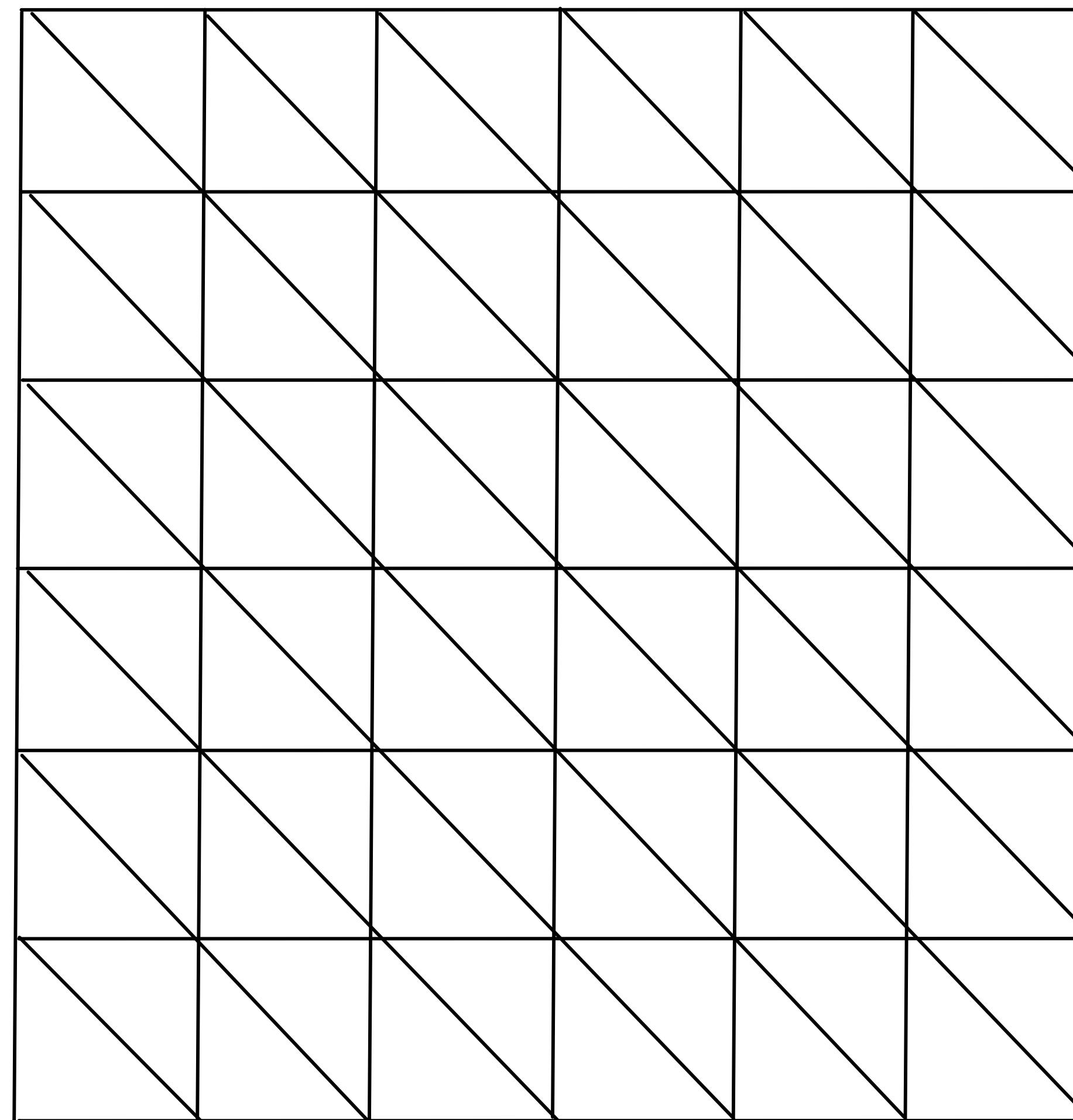
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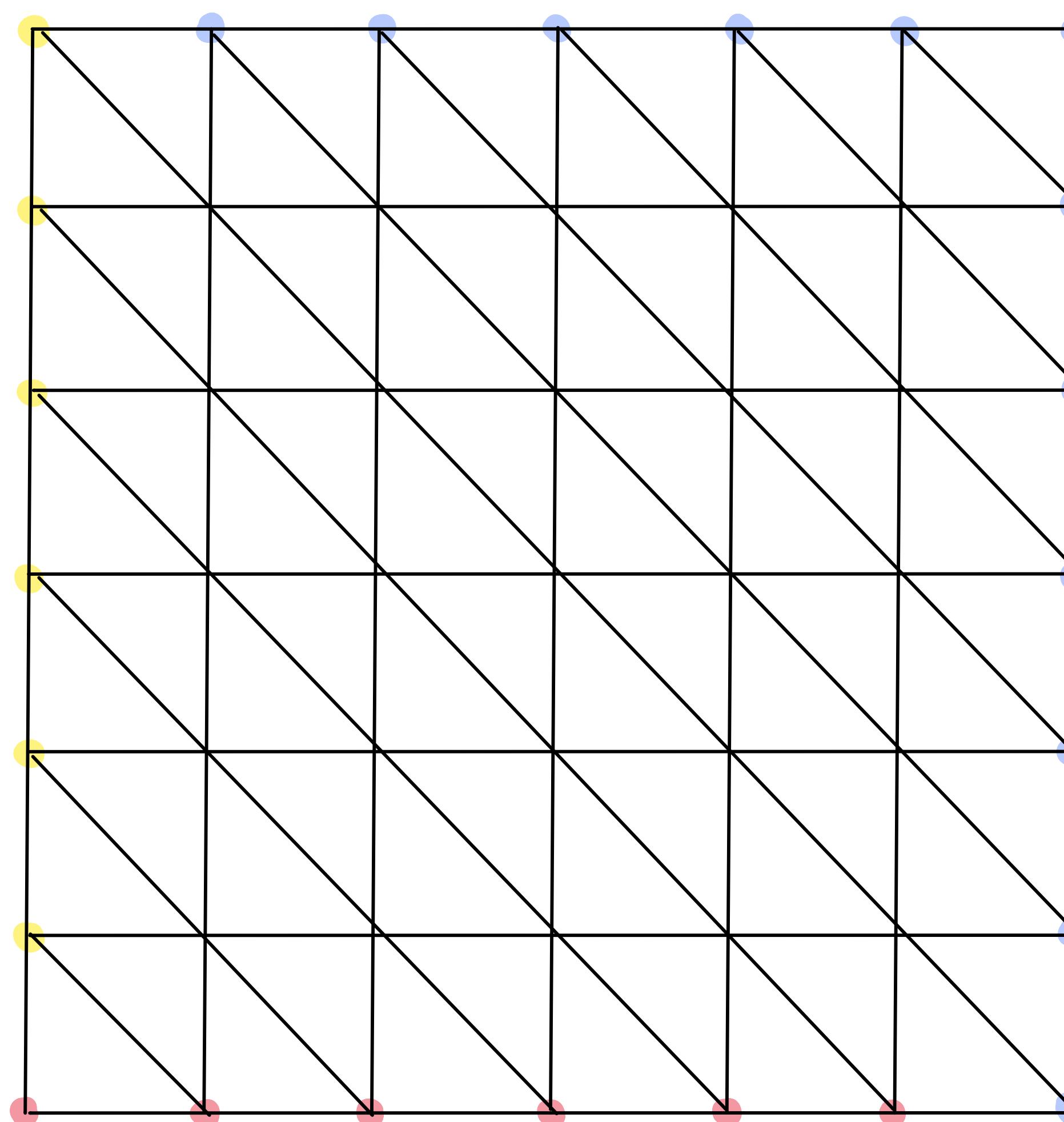
Sperner's Lemma (2D)



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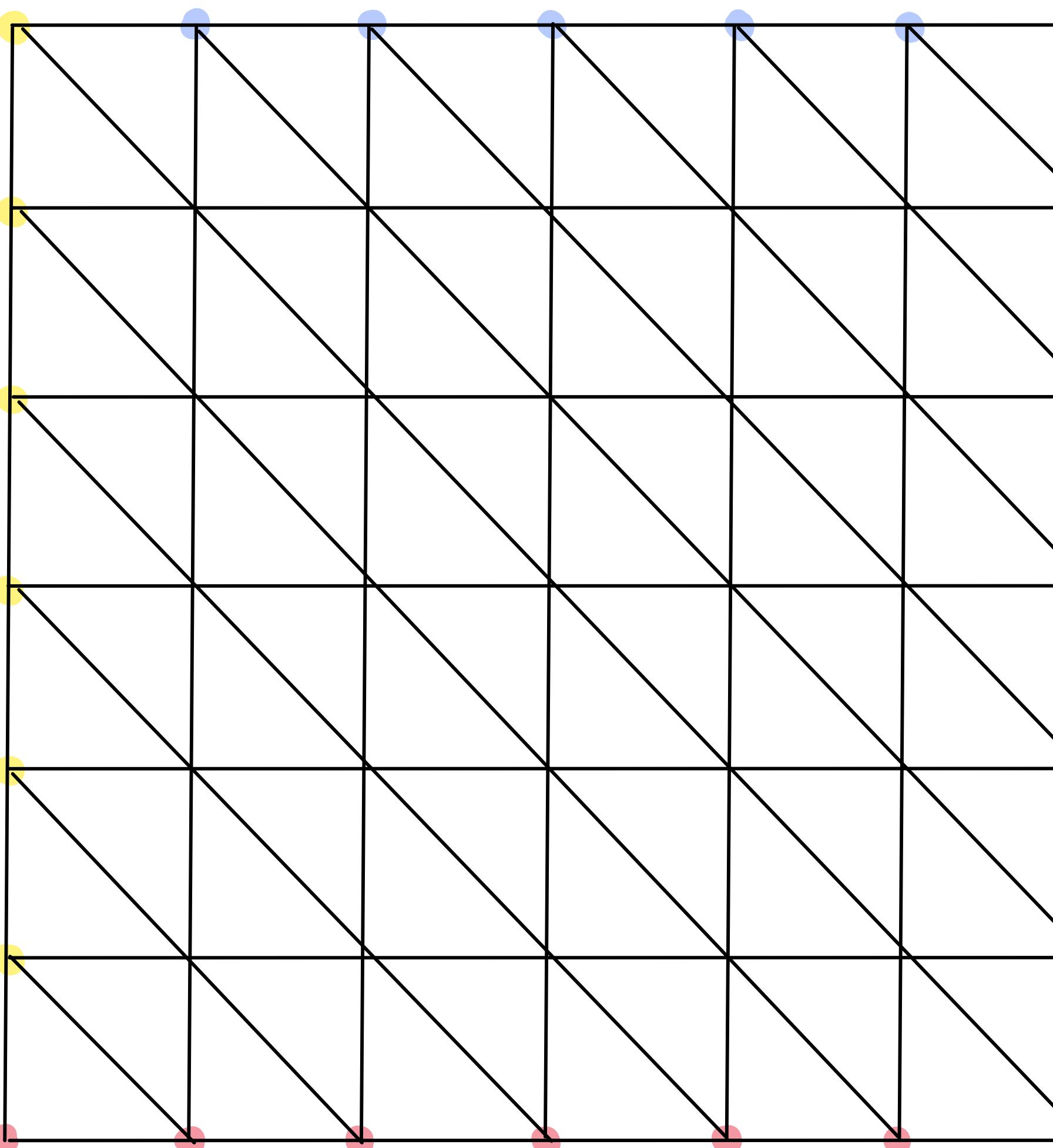


## Sperner's Lemma (2D)



If  
the boundary  
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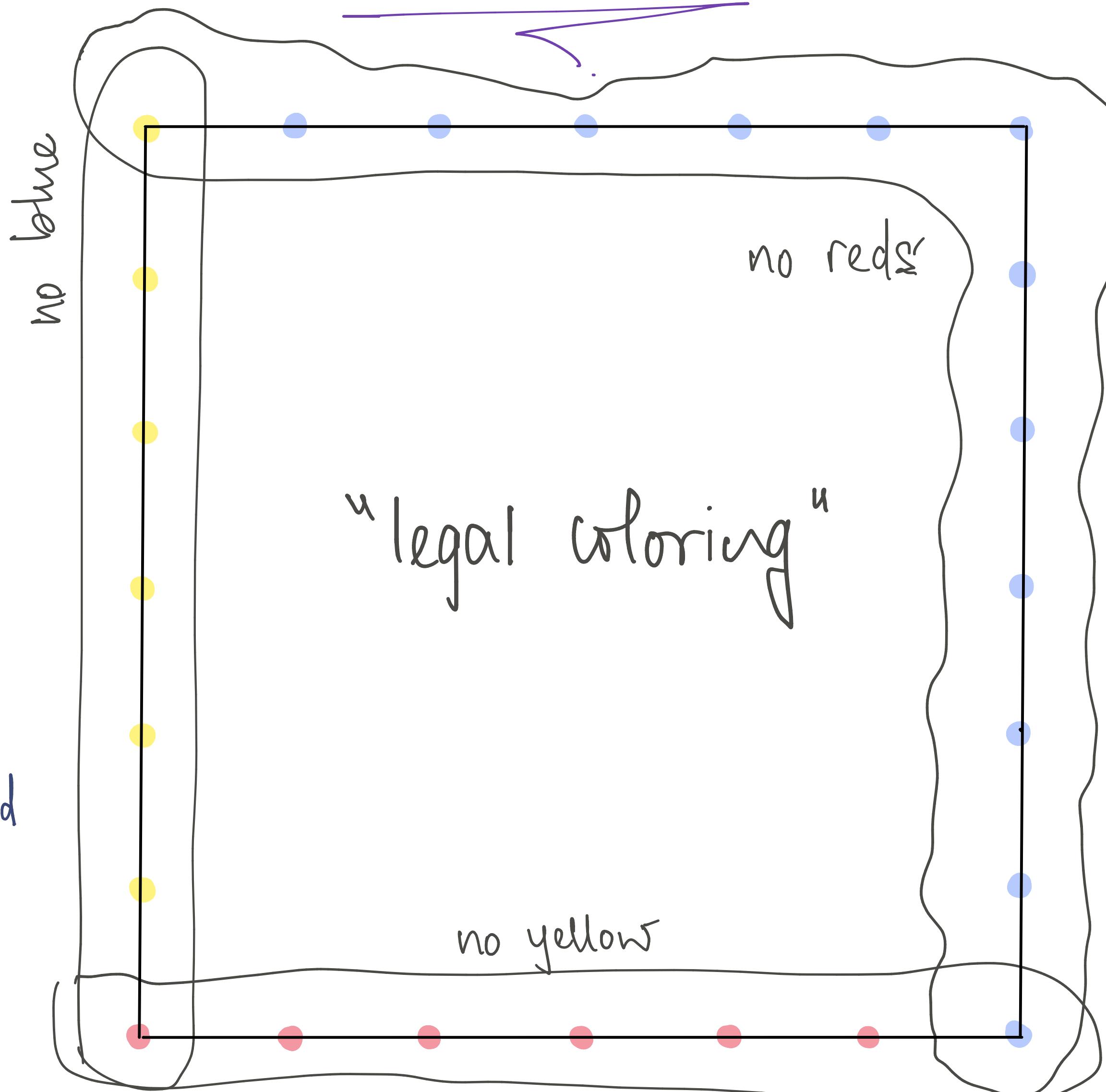
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then  
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trichromatic  $\Delta$ 's.

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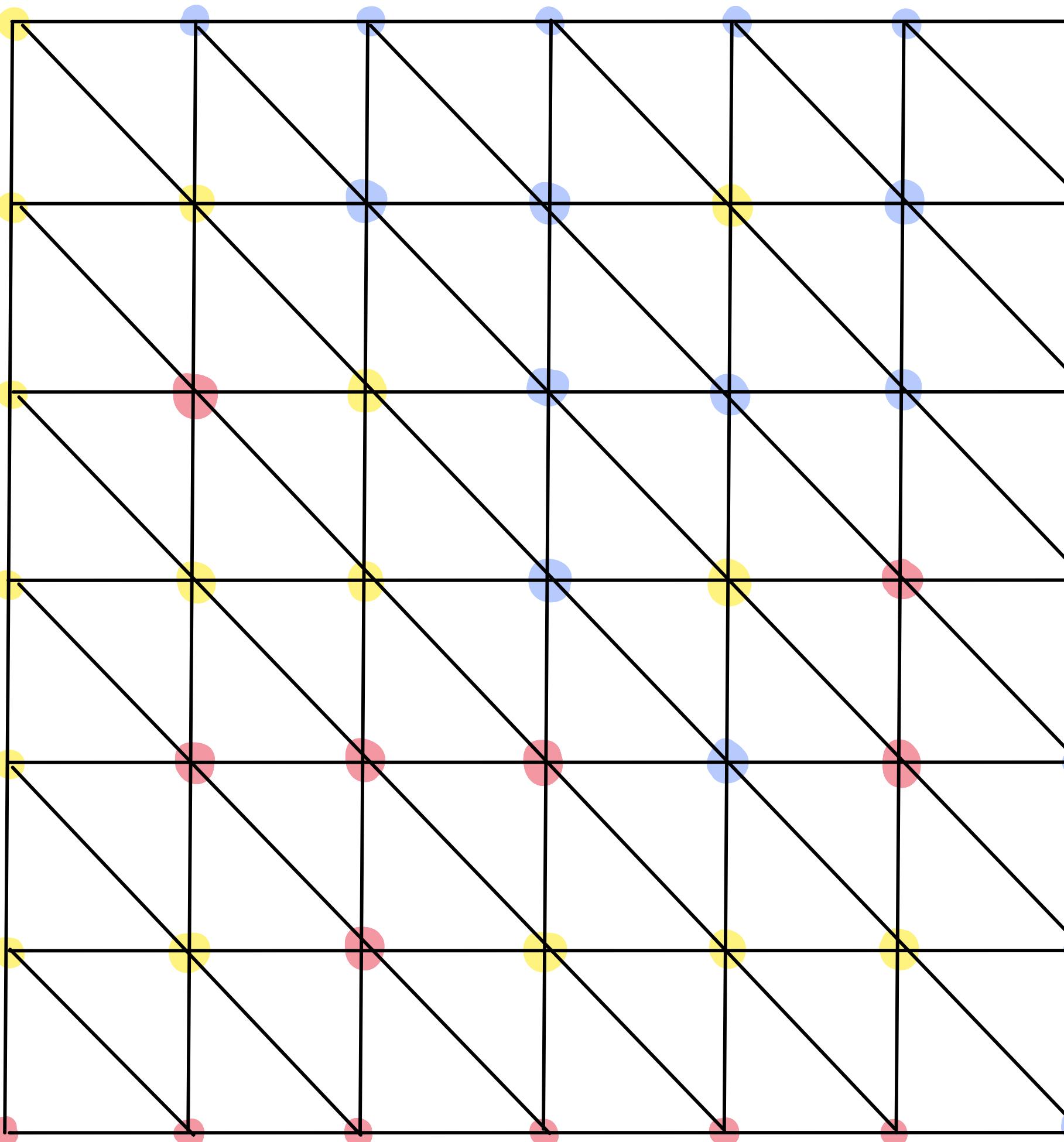
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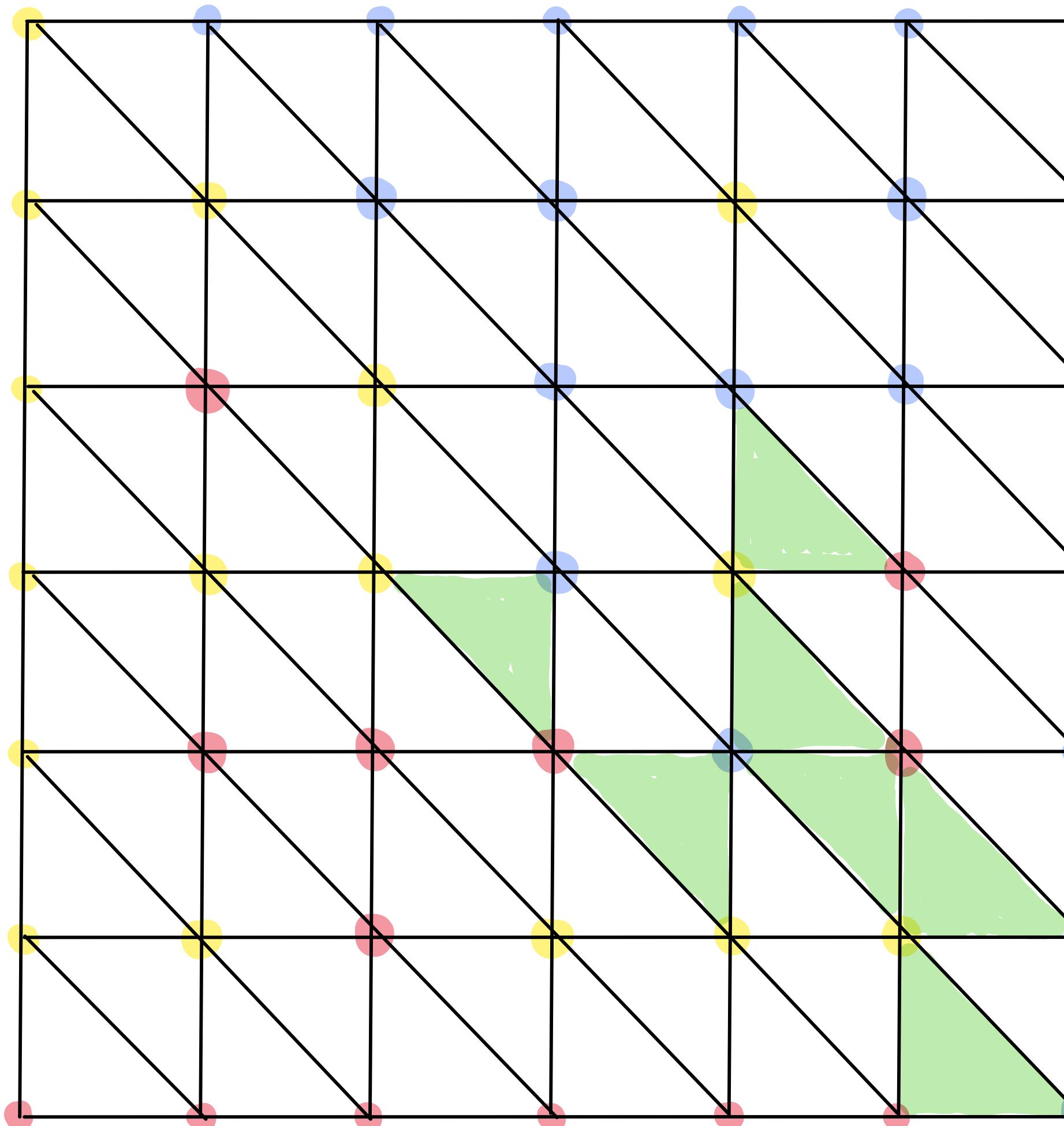


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Sperner  $\Rightarrow$  Brouwer

$$f: [0,1]^2 \rightarrow [0,1]^2$$

1. For all  $\epsilon$ , existence of an approximate fixed point

$$|f(x) - x| < \epsilon$$

can be shown using Sperner

2. Then use compactness.

## Sperner $\Rightarrow$ The Computational Edition

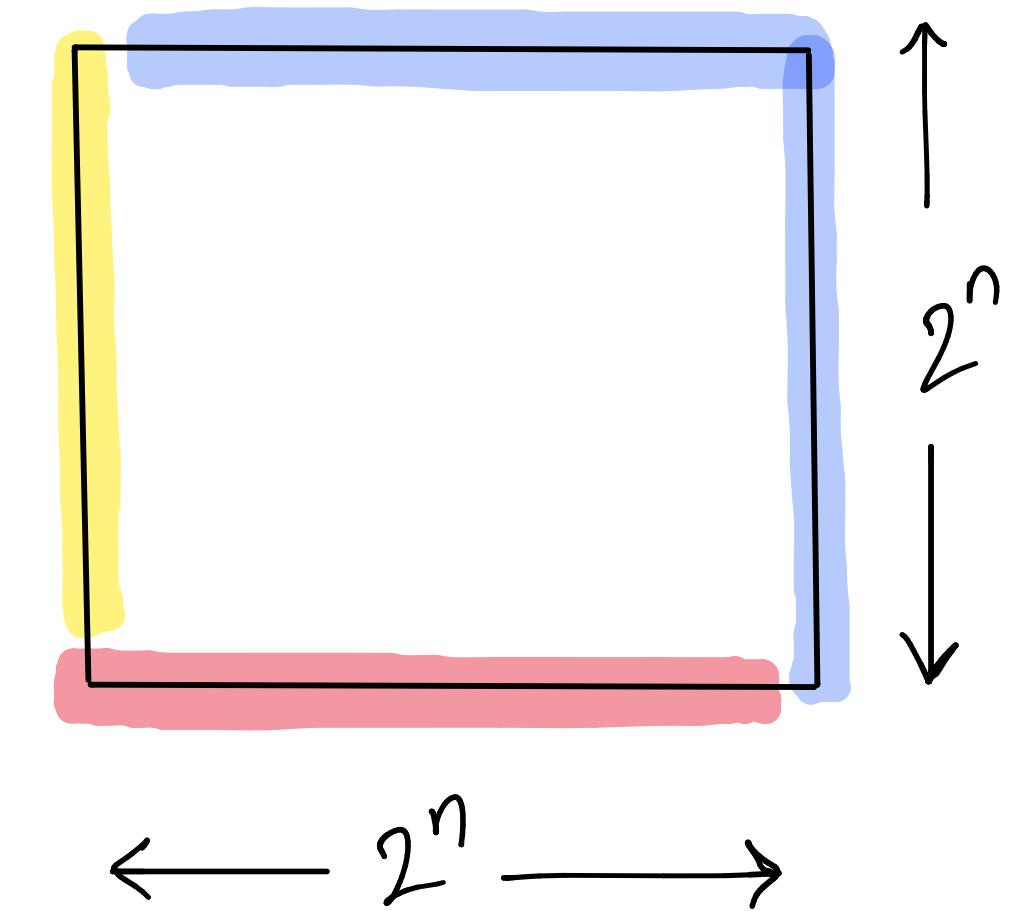
Input : Grid of size  $2^n$  with the standard coloring

on the outer boundary

Colorings of the internal vertices are

given by an oracle :

$$\text{col}(x, y) \rightarrow \{\text{yellow}, \text{blue}, \text{red}\}$$



Output : A trichromatic  $\Delta^k$ .

## Nash Equilibrium $\Rightarrow$ The Computational Version

Input.

①

A game given by

- \* # of players ( $n$ )

- \* Strategy sets  $S_p$  for all  $p \in 1, 2, \dots, n$

- \* Utility function  $u_p: S \rightarrow \mathbb{R}$  for all  $p$

②

An approximation requirement  $\epsilon$ .

## Nash Equilibrium $\Rightarrow$ The Computational Version

Output. An  $\epsilon$ -Nash Equilibrium of the game

$$\forall p. \quad U_p(x_1, \dots, x_n) \geq U_p(x_1, \dots, x'_p, \dots, x_n) - \epsilon$$

$$\forall x'_p \in \Delta(S_p)$$

\* helps guarantee polynomial output complexity.

Function NP (FNP)

(capturing search problems)

Search Problem.  $L$  is defined by a relation

$$R_L \subseteq \{0,1\}^* \times \{0,1\}^*$$

$(x,y) \in R_L$  iff  $y$  is a solution to  $x$ .

Function NP (FNP)

(capturing search problems)

~~Total~~ Search Problem.  $L$  is defined by a relation

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$(x,y) \in R_L$  iff  $y$  is a solution to  $x$ .

$L$  is total iff  $\forall x \exists y$  s.t.  $(x,y) \in R_L$ .

Function NP (FNP)

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A search problem is in FNP iff

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$$\forall x, y : A(x, y) = 1 \text{ iff } A_L(x, y) = 1 \text{ iff } (x, y) \in L$$

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$$\forall x. \quad \exists y \text{ s.t. } (x, y) \in R_L \Rightarrow \exists z \text{ with } |z| \leq p_L(|x|) \text{ s.t. } (x, z) \in R_L$$

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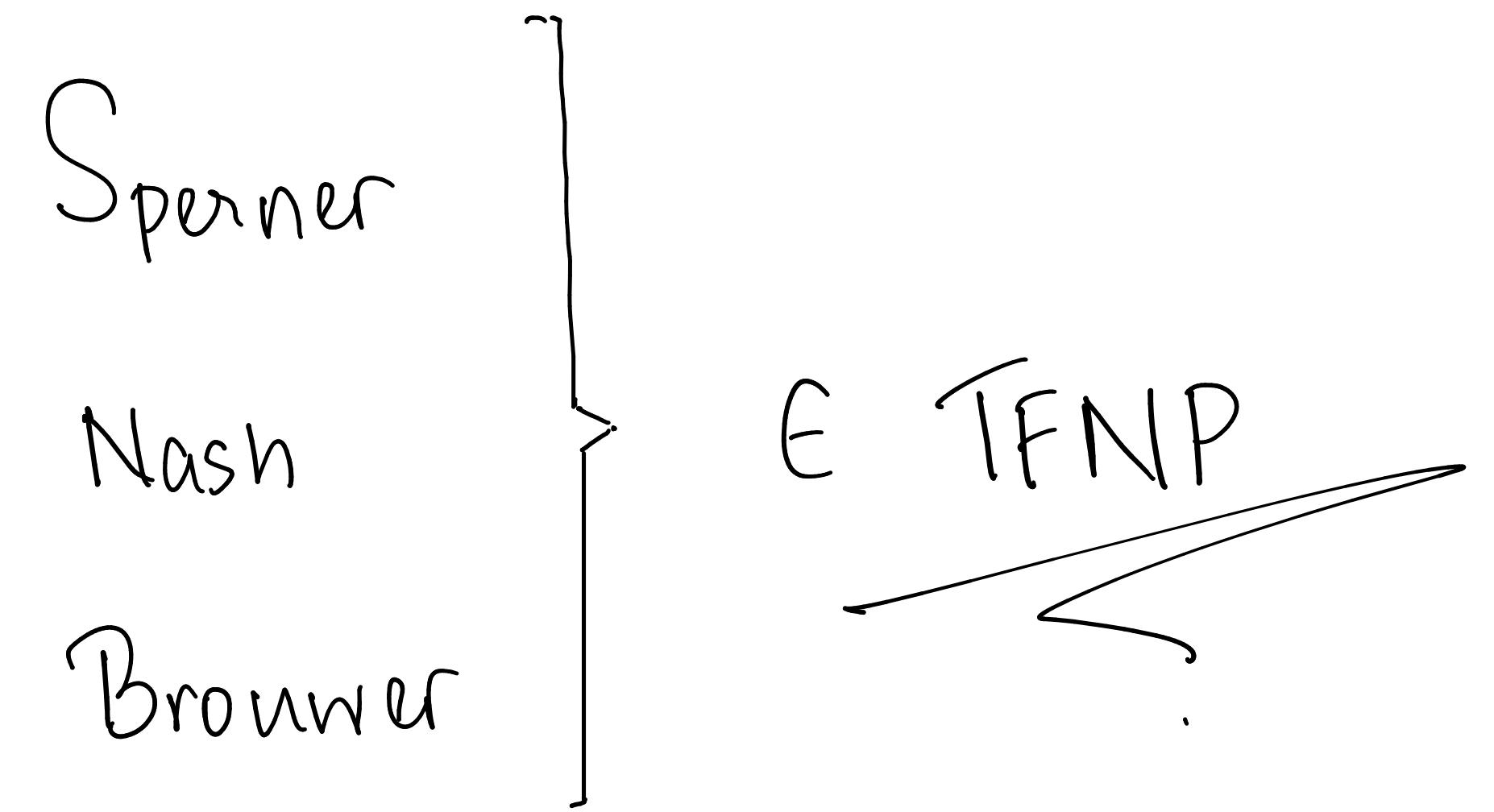
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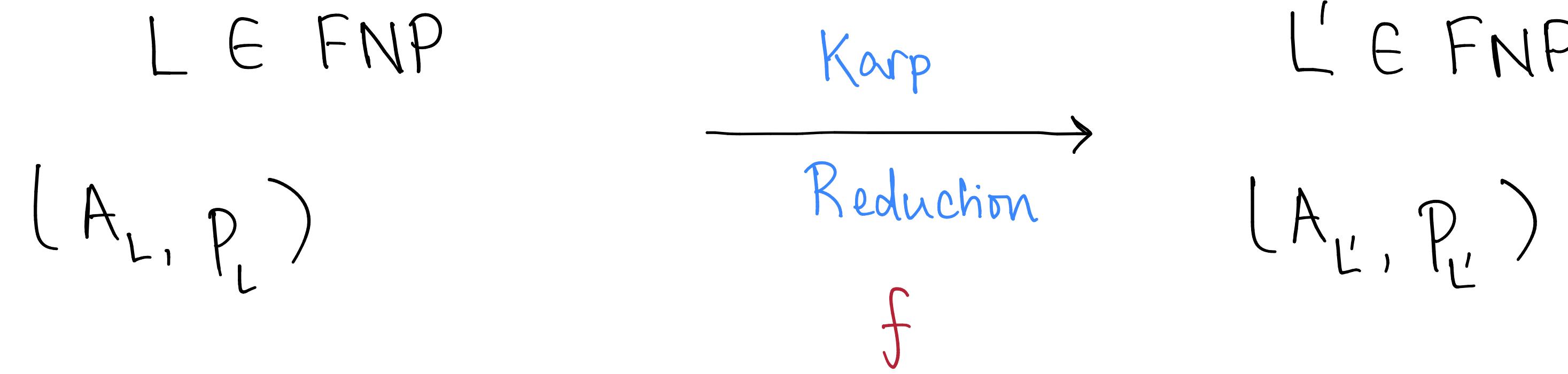
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$$TFNP = \{ L \in FNP \mid L \text{ is total} \}.$$





$f: \{0,1\}^* \rightarrow \{0,1\}^*$  maps inputs of  $L$  to inputs to  $L'$ .

$$\forall x, y : A_{L'}(f(x), y) = 1 \Rightarrow A_L(x, g(y)) = 1$$

if  $f(x)$  has a solution under  $L'$  then  $x$  must have a solution under  $L$

$$\forall x : A_{L'}(f(x), y) = 0 \nexists y \Rightarrow A_L(x, y) = 0 \nexists y$$

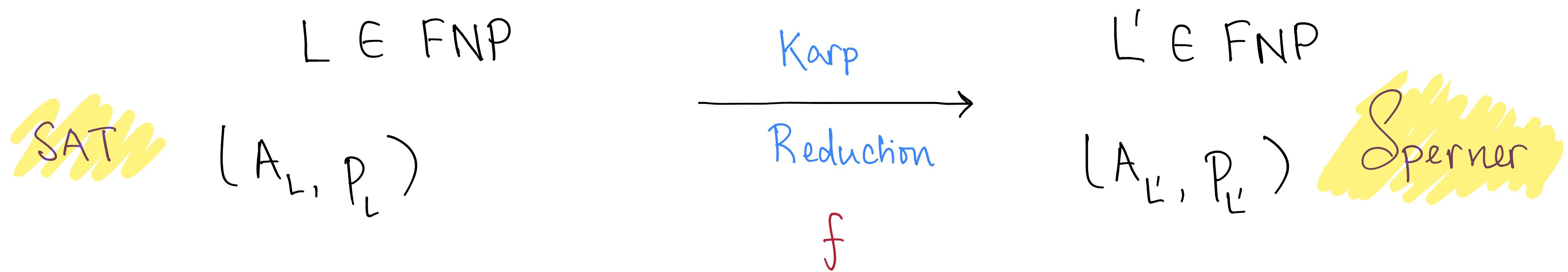
if  $f(x)$  has no solution then  $x$  has no solution either.

A search problem is FNP-complete if

$$L \in FNP$$

$\exists e \nexists L' \in FNP, L'$  is poly-time reducible to  $L$ .

( SAT is FNP-complete.)



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if  $f(x)$  has no solution then  $x$  has no solution either.

A Complexity Theory

of

Total Search Problems

# A Complexity Theory of

① identify a combinatorial

argument of existence

that makes these search

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# Total Search Problems

①

identify a combinatorial

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A Complexity Theory  
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Total Search Problems

②

define a complexity class

"inspired" by the  
argument of existence

①

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A Complexity Theory  
of

Total Search Problems

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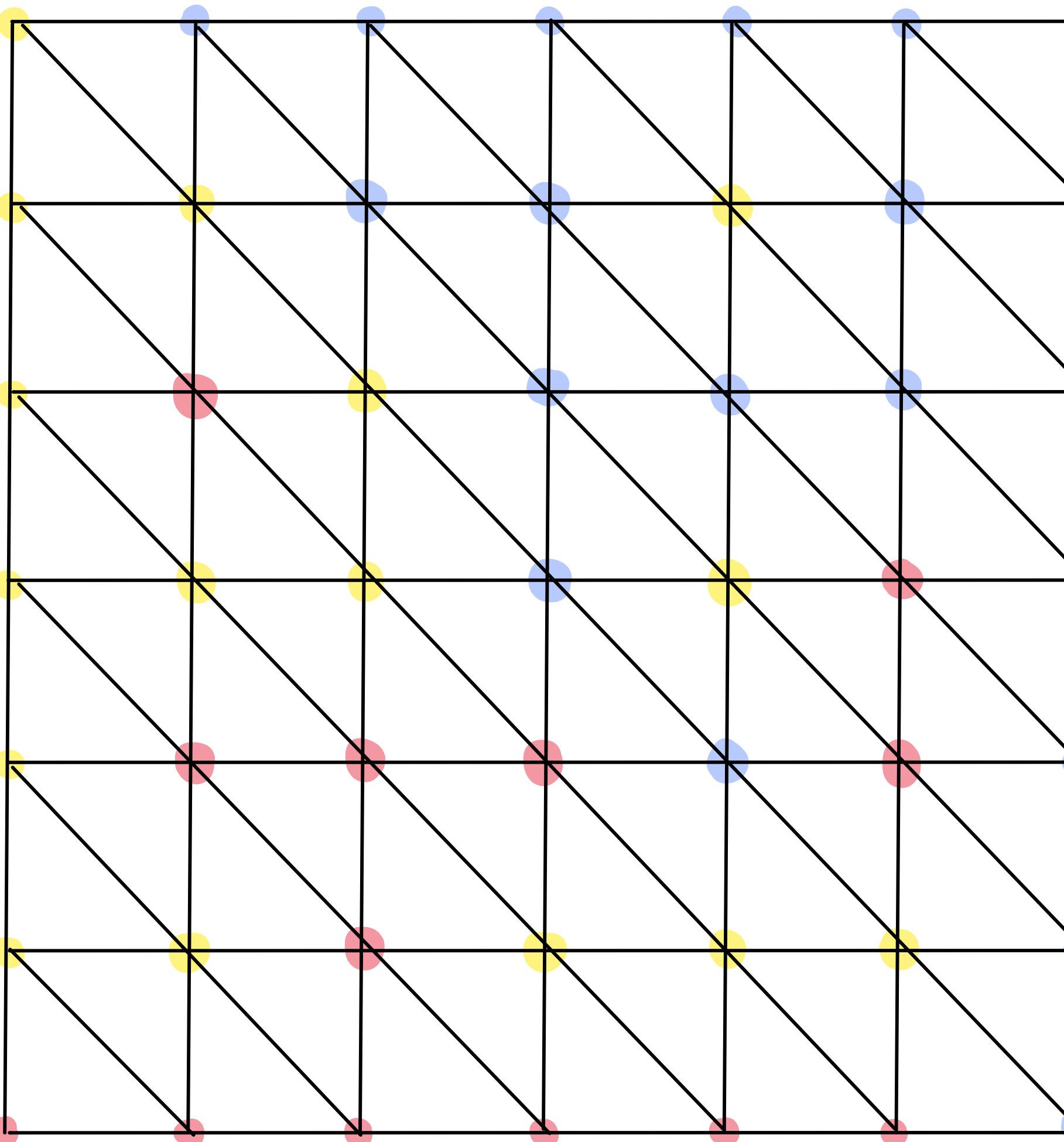
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③

Justify the definition



## Sperner's Lemma (2D)

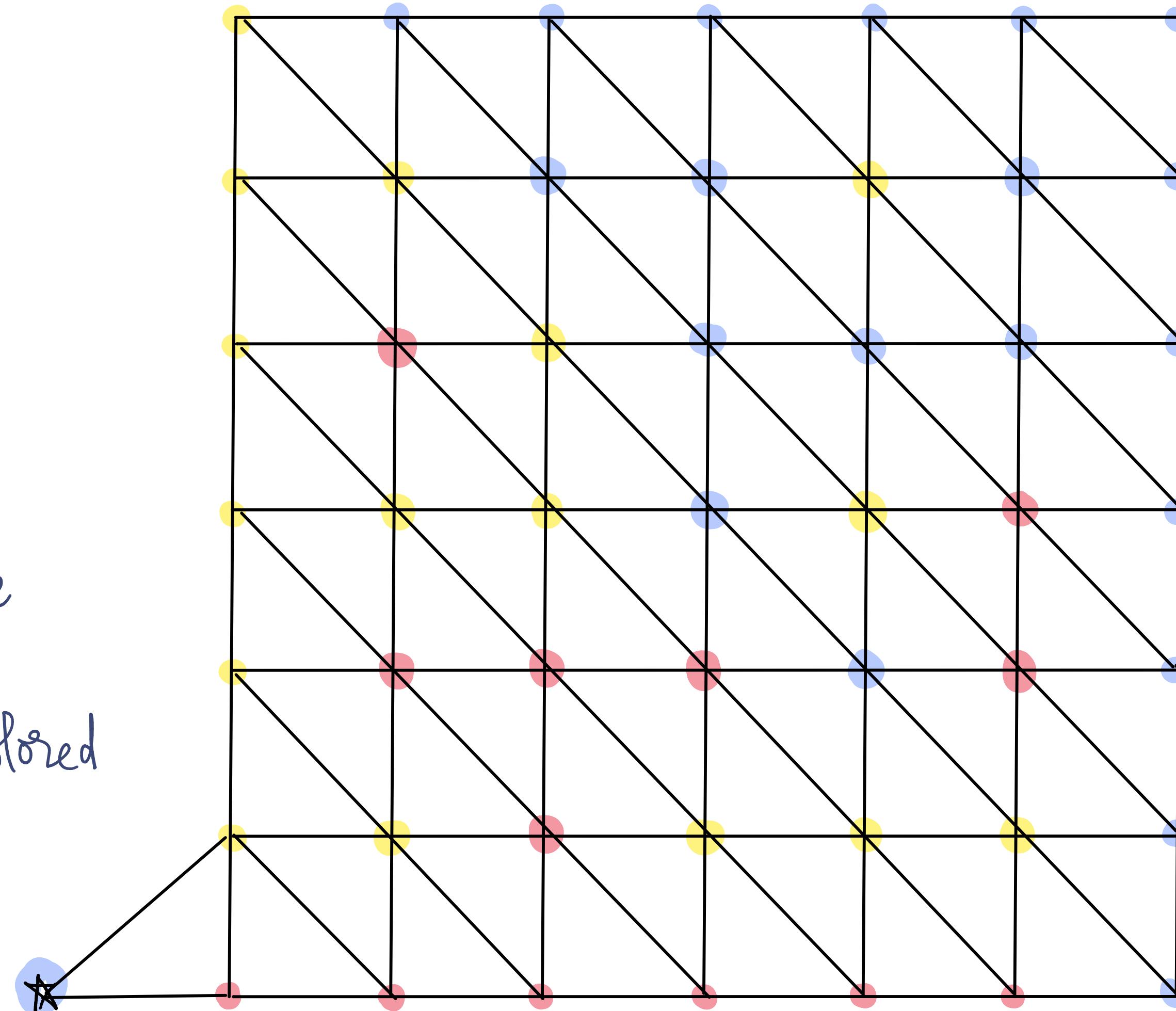


If  
the boundary  
is legally colored  $\Sigma$   
regardless of how the  
internal nodes are colored

then  
there exist an  
odd # (& therefore  
at least one) of  
trichromatic  $\Delta$ 's.

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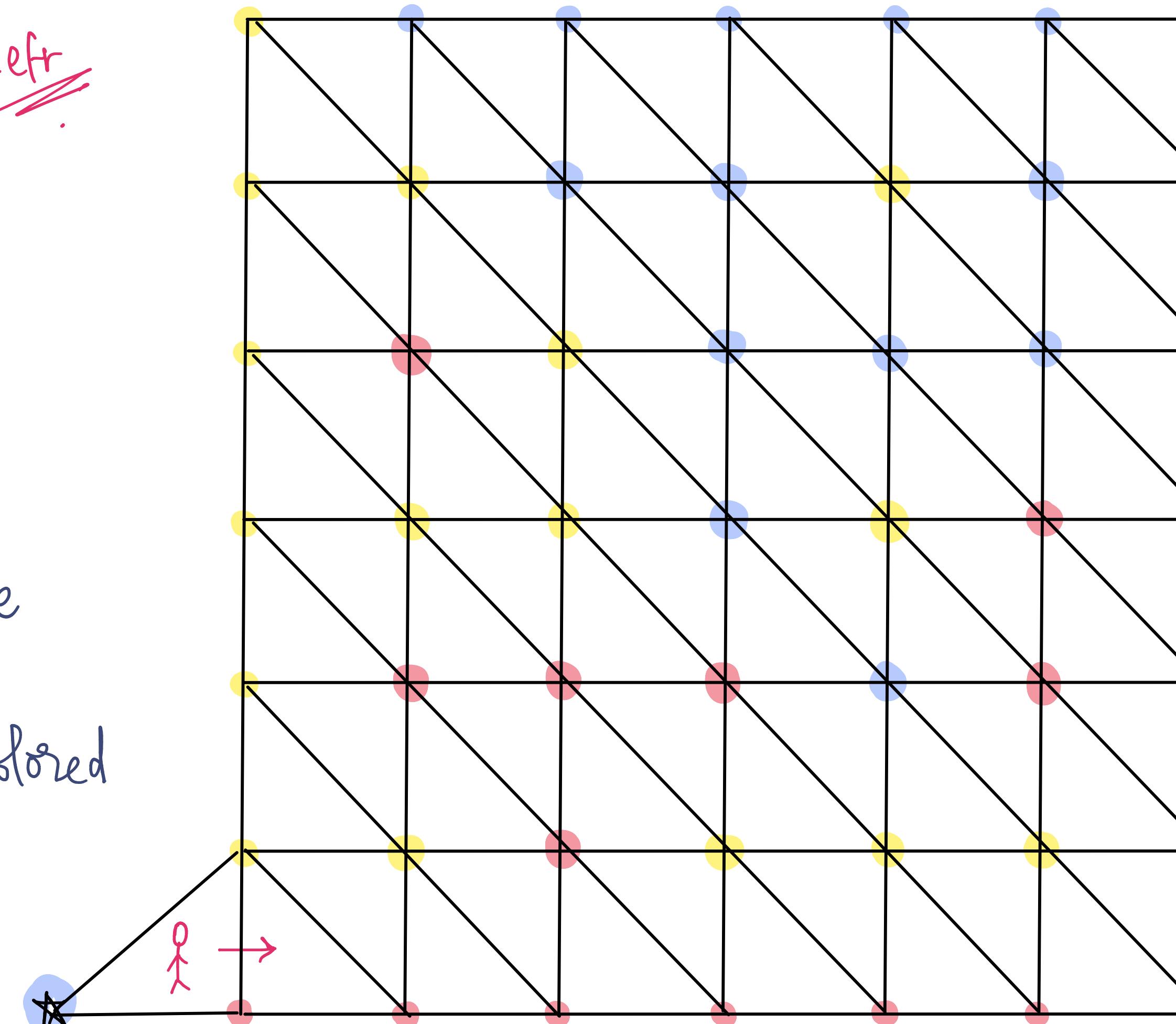


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only pursue  
R-Y doors

If  
W Y on your  
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Sperner's Lemma (2D)

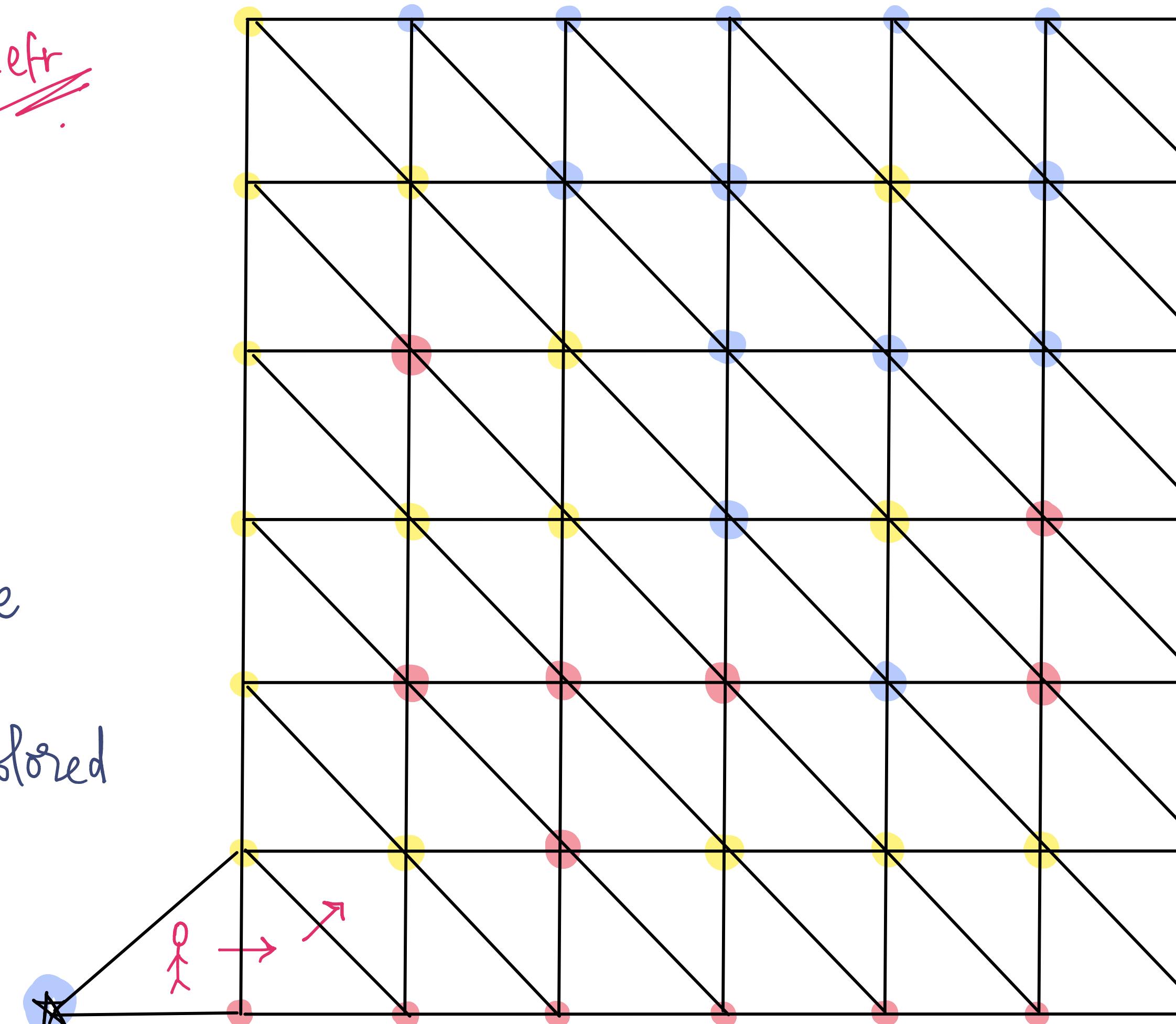


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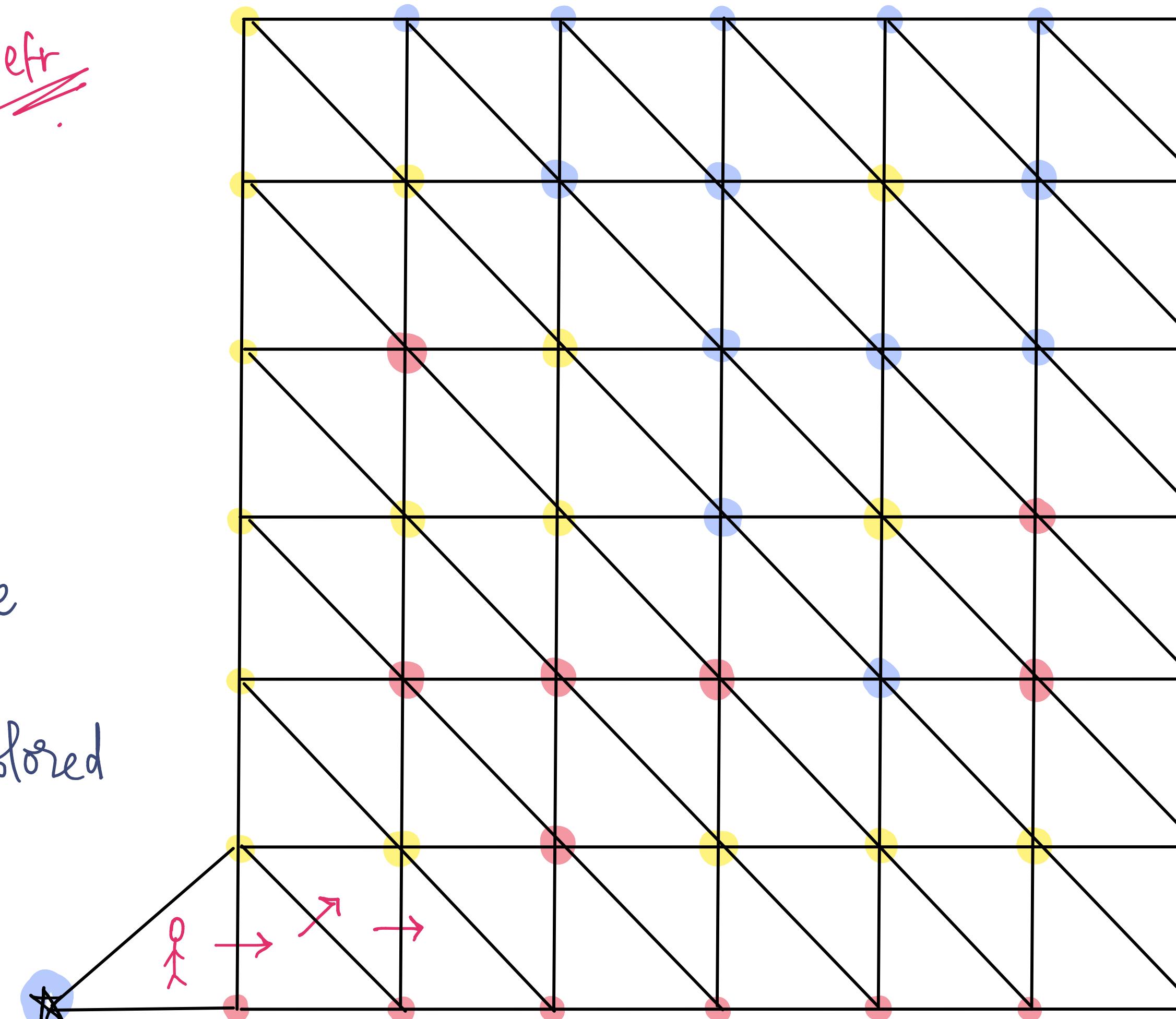


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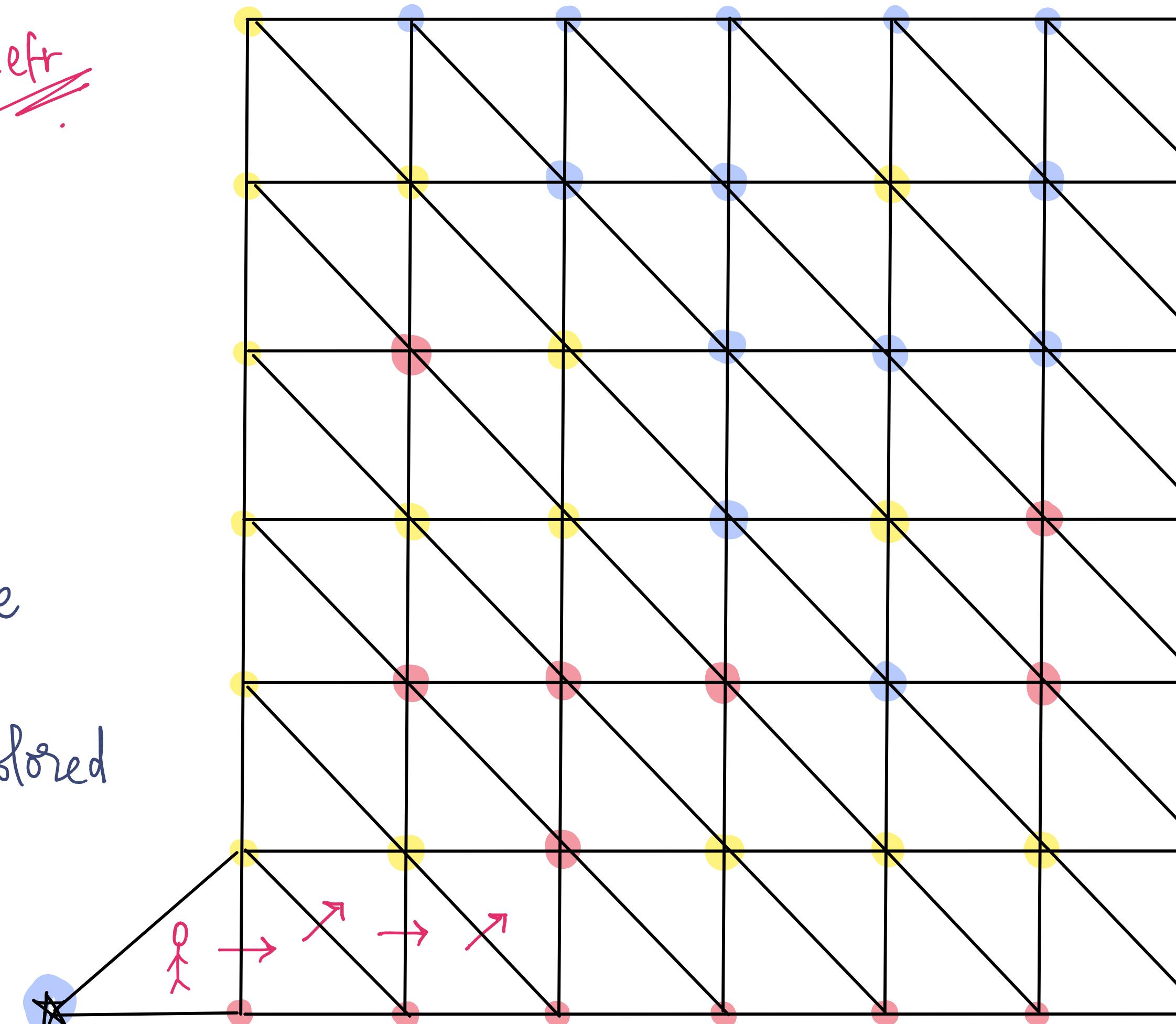


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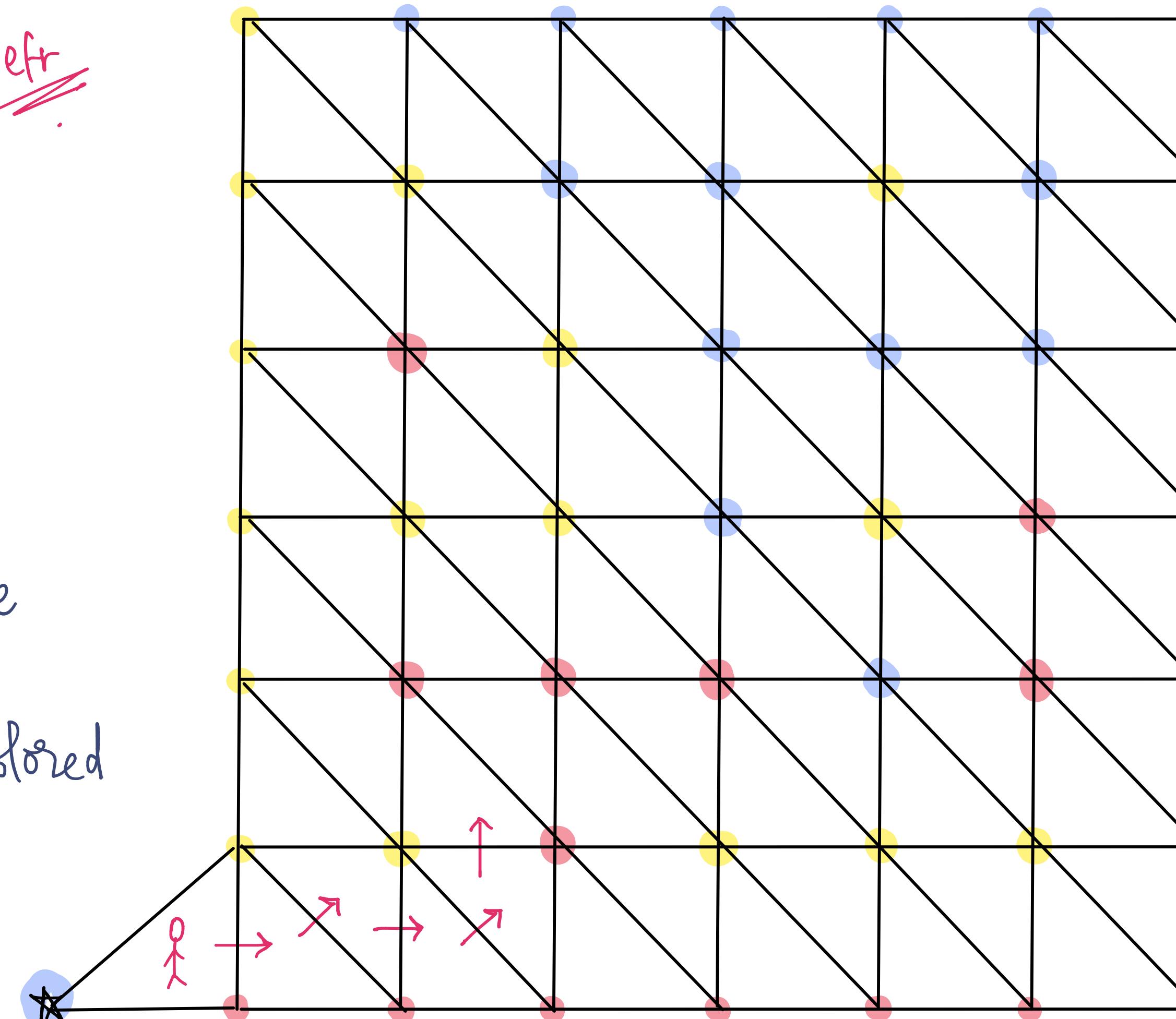


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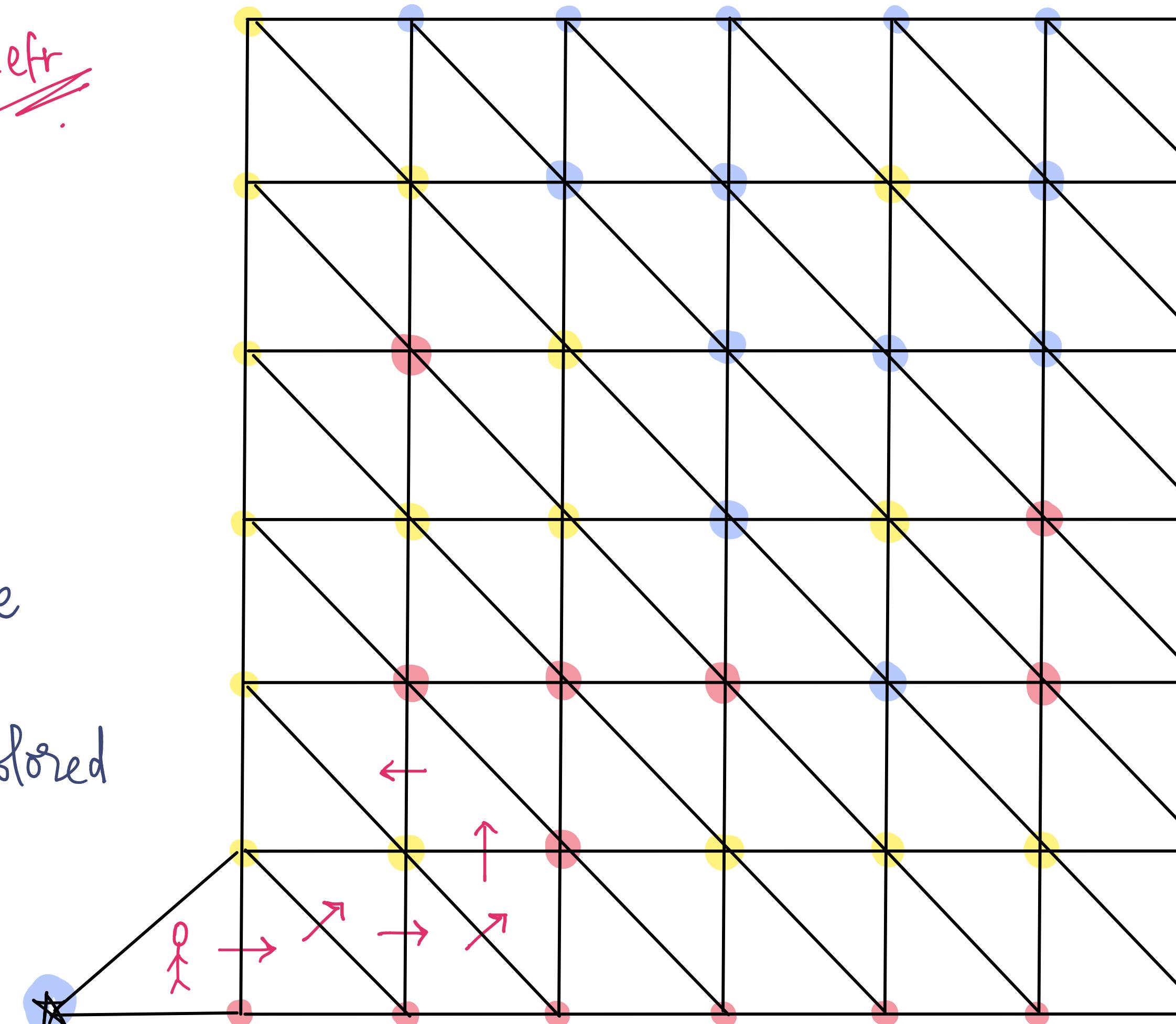


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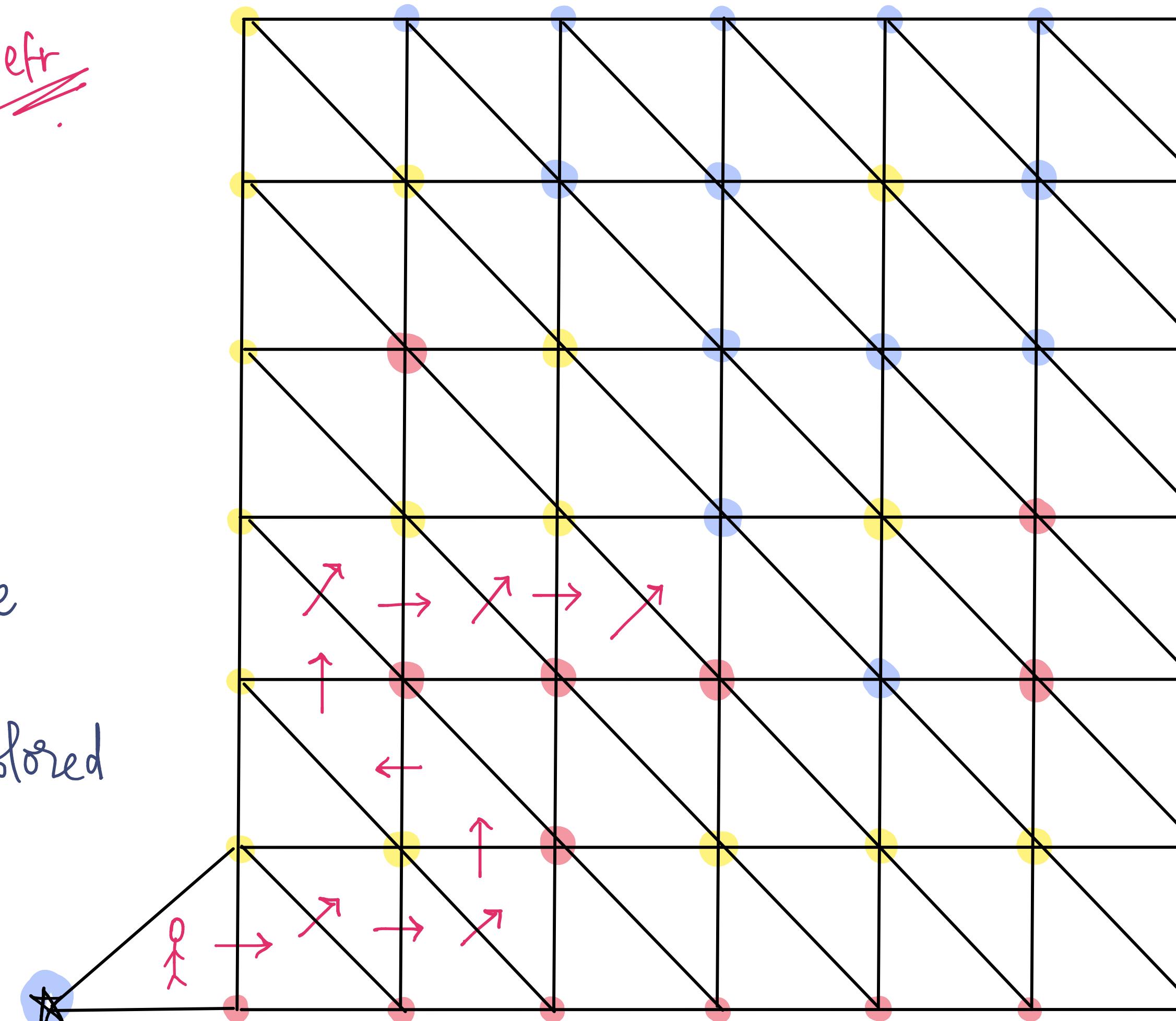


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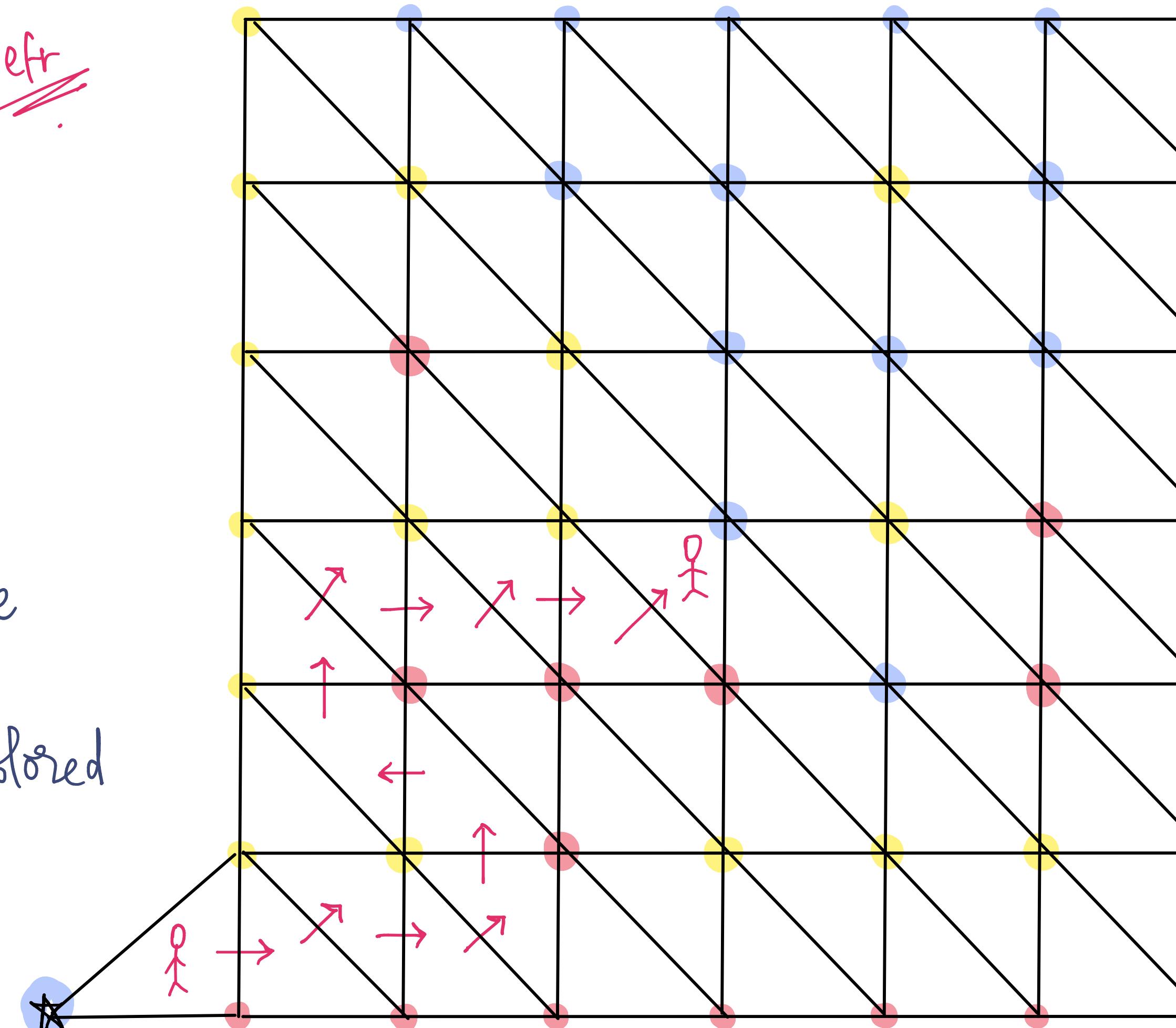


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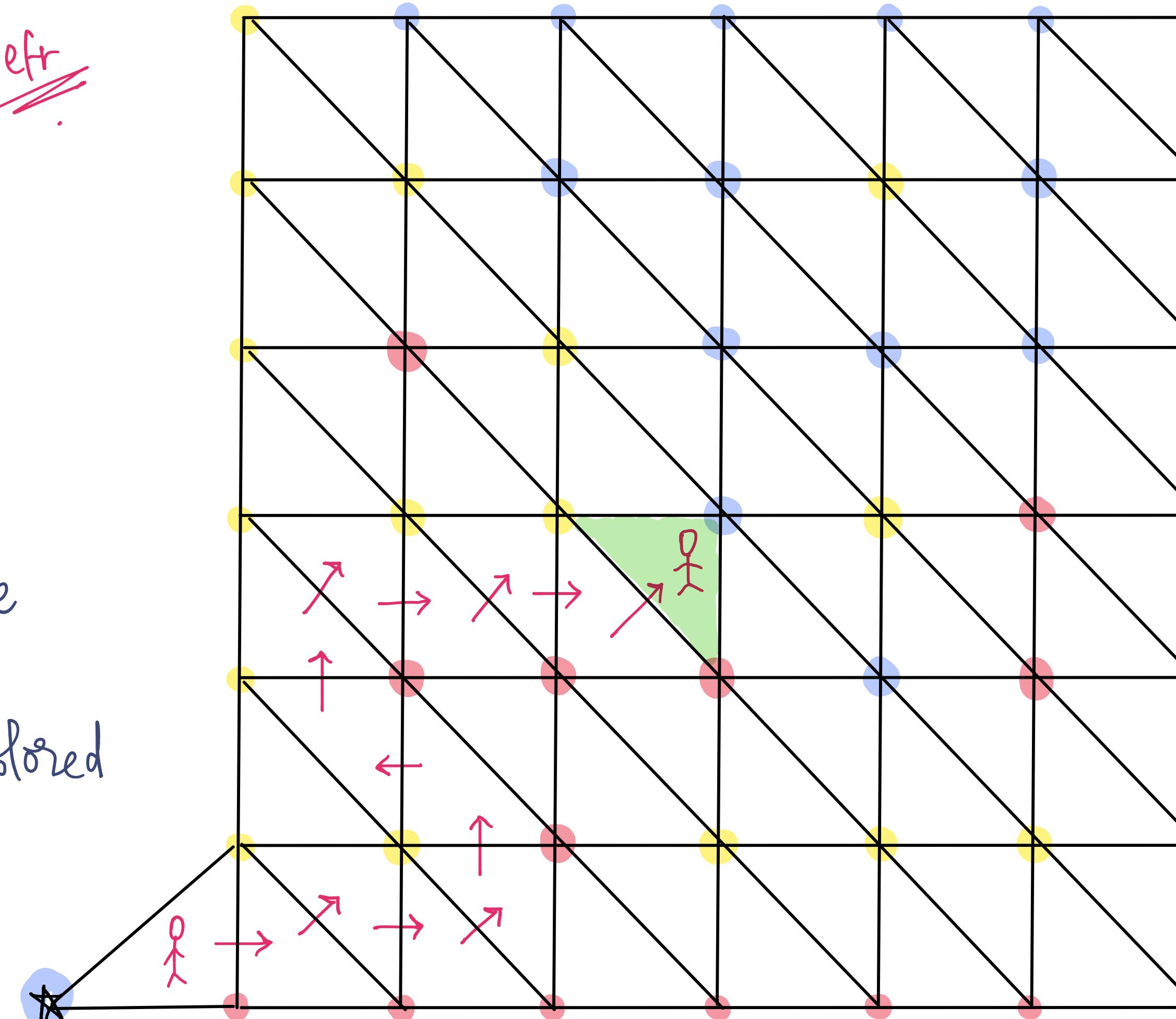
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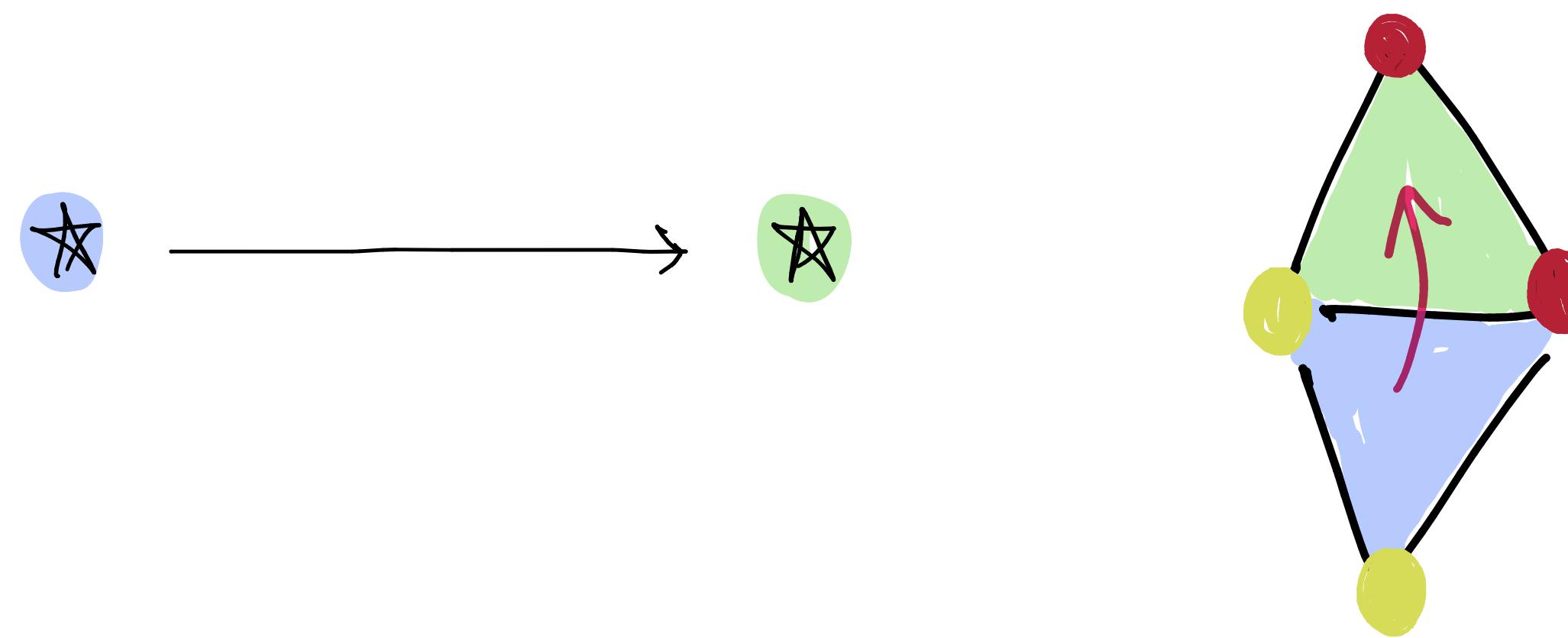
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Graph G

$V(G) \rightsquigarrow \Delta^{\text{les}}$  from the grid

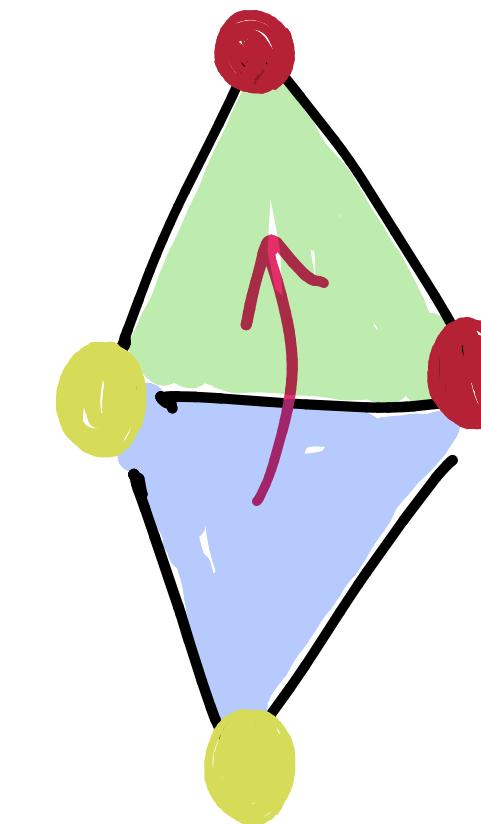


Two  $\Delta^{\text{le}}$ s are connected if they share  
a Ry door that can be crossed w/ yellow on your left.

Graph G

$V(G) \rightsquigarrow \Delta^{\text{les}}$  from the grid

$$\text{indeg}(v) \leq 1 \quad \& \quad \text{outdeg}(v) \leq 1$$

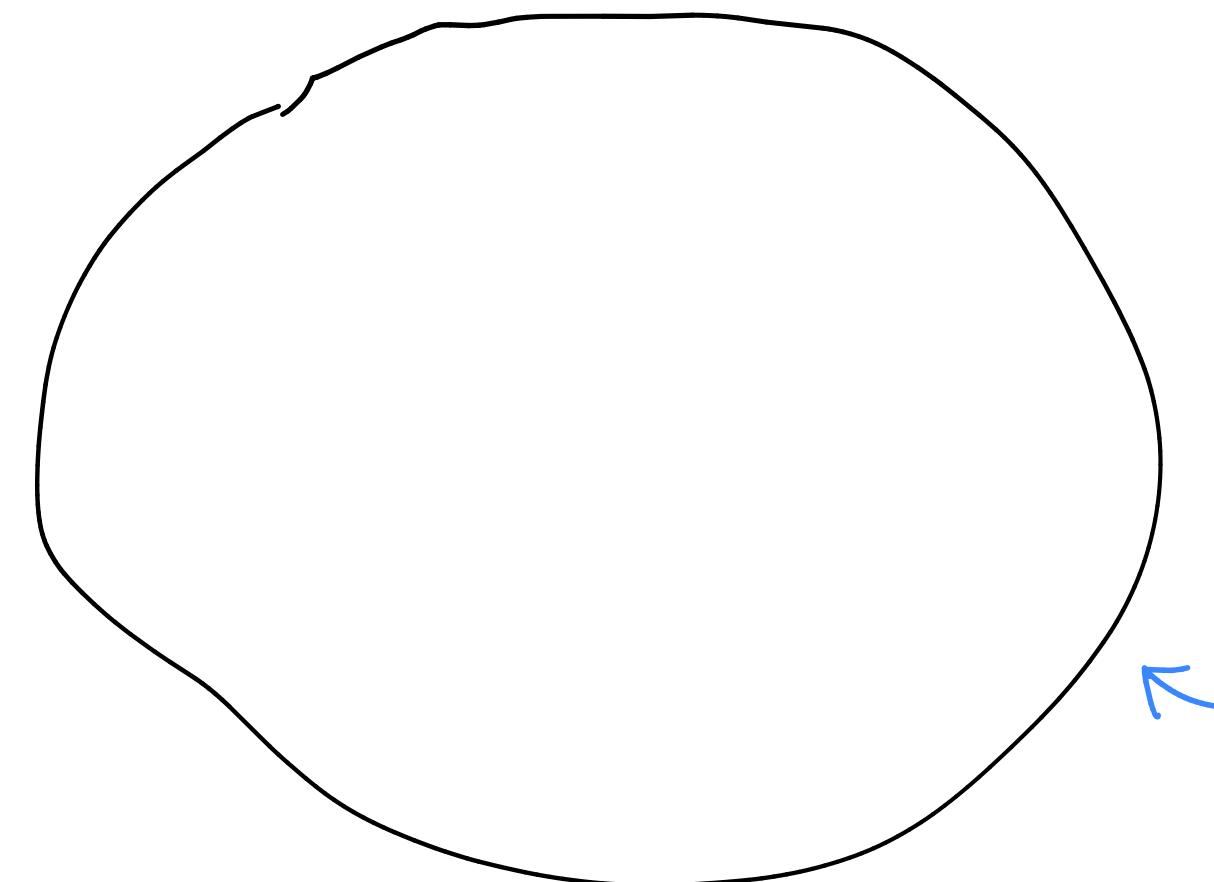


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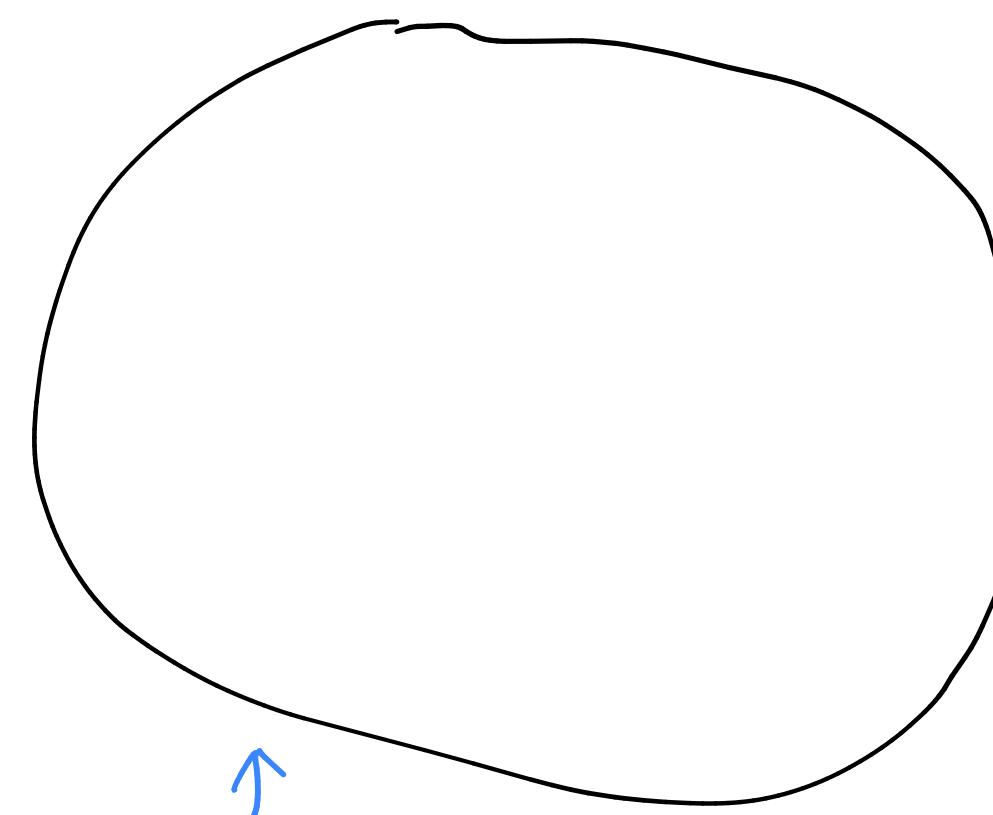
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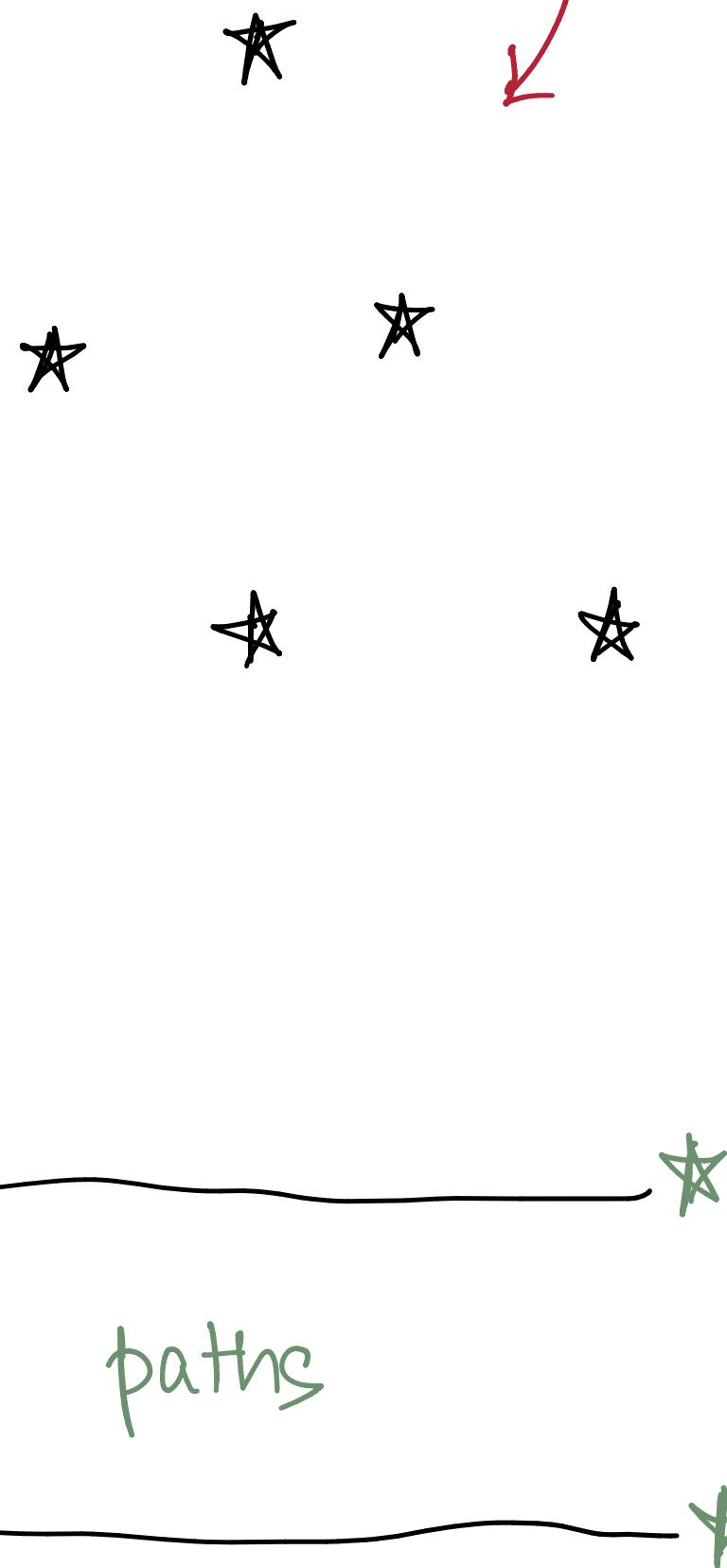
Cycles



Cycles

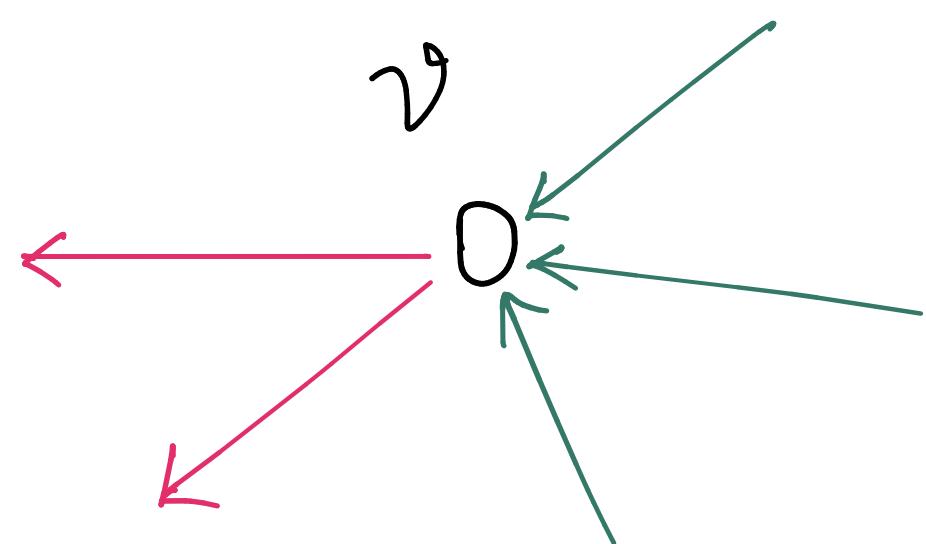


paths



isolated vertices

if  $\exists v \in G$  s.t

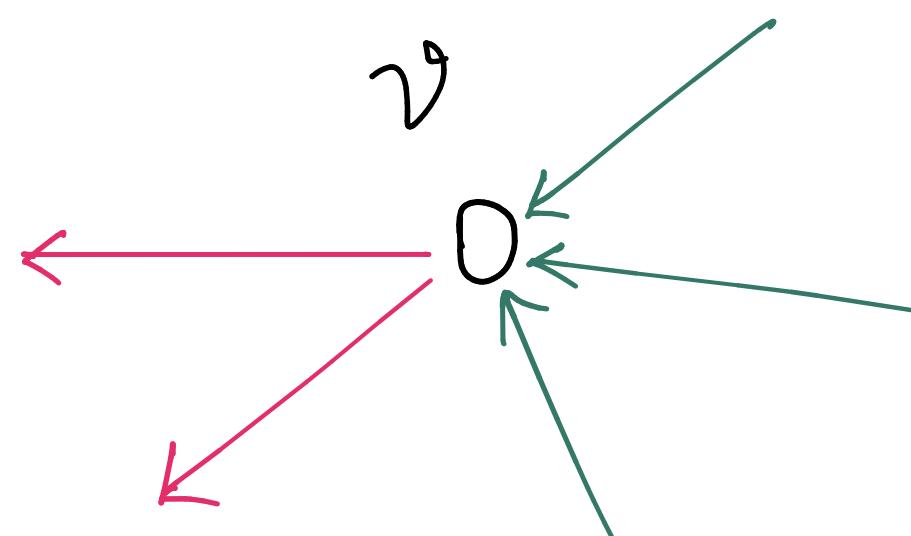


v is unbalanced

(i.e.,  $\text{indeg}(v) \neq \text{outdeg}(v)$ )

then  $\exists u \neq v$  s.t. u is unbalanced also.

if  $\exists v \in G$  s.t



$v$  is unbalanced

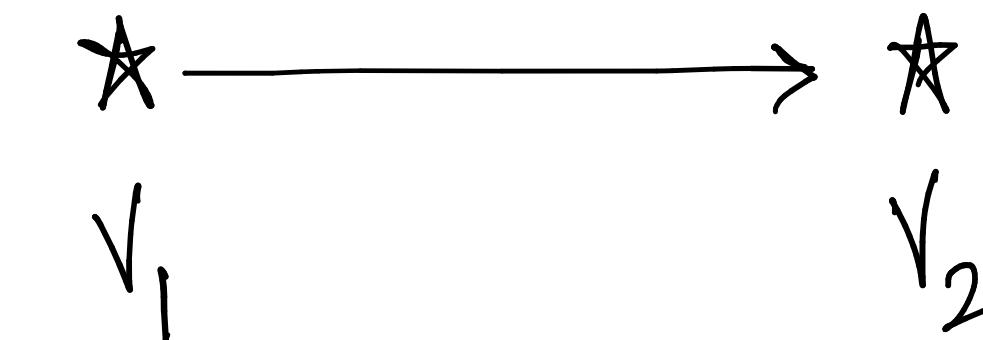
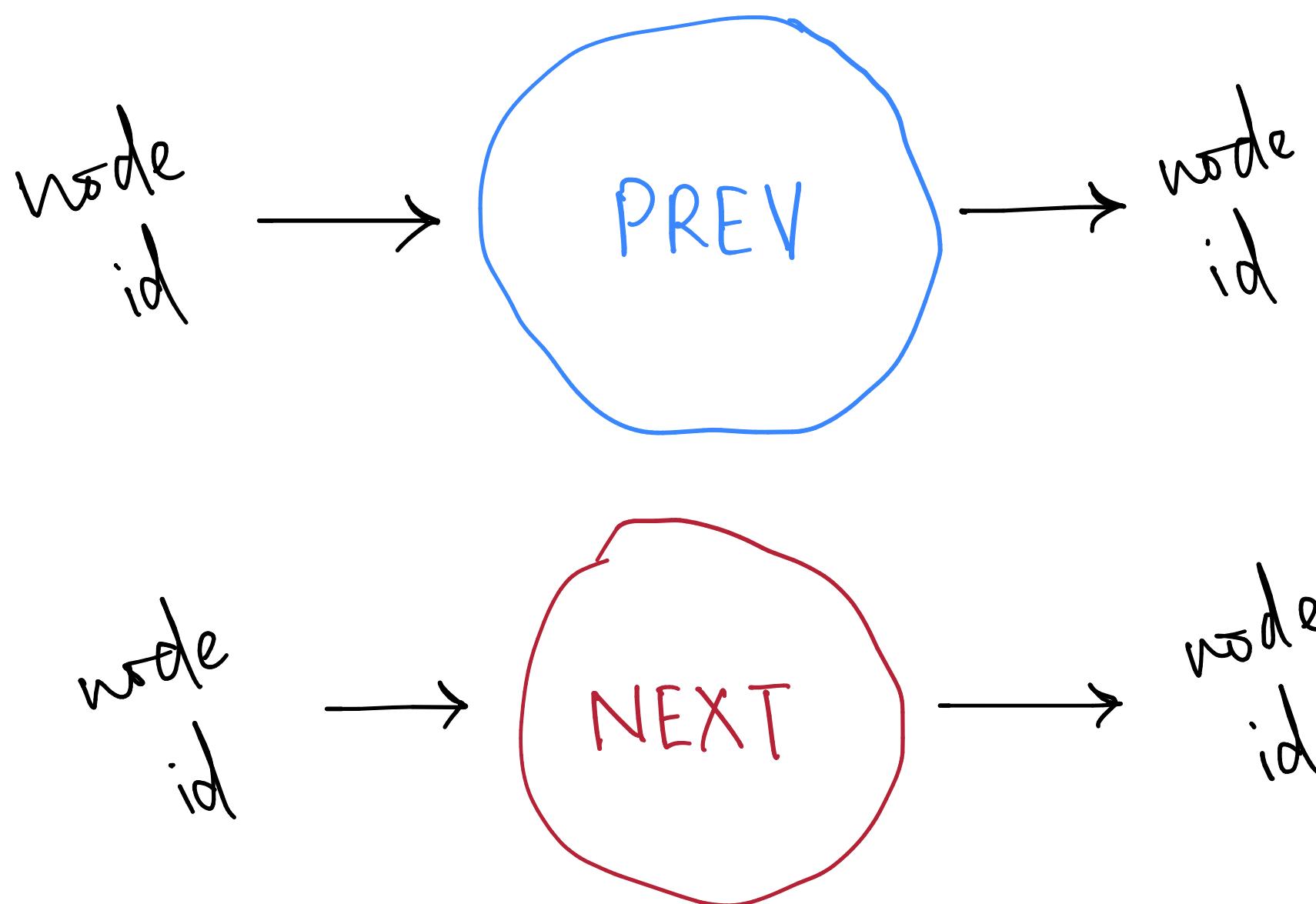
(i.e.,  $\text{indeg}(v) \neq \text{outdeg}(v)$ )

then  $\exists u \neq v$  s.t.  $u$  is unbalanced also.

$$\sum_{\substack{w \in V(G) \\ w \neq v}} \text{indeg}(w) + \text{indeg}(v) = |E(G)| = \sum_{\substack{w \in V(G) \\ w \neq v}} \text{outdeg}(w) + \text{outdeg}(v)$$

# The PPAD Class

A graph w/ vertex set  $\{0,1\}^n$  is defined by two circuits



$\text{PREV}(v_2) = v_1$

# The PPAD Class

END - OF - THE - LINE.

Given PREV & NEXT,

if  $O^n$  is an unbalanced node,

find another unbalanced node.

PPAD  $\Rightarrow \{ L \mid \text{LEFNP} \wedge L \text{ is poly-time reducible}$   
to the END - OF - THE - LINE problem. }

Easy to check : is  $0^n$  unbalanced ?

$$\text{PREV}(0^n) = x$$

$$\text{NEXT}(0^n) = y$$

if  $(\text{PREV}(y) = 0^n \wedge \text{NEXT}(x) = 0^n)$  or  
 $(\text{PREV}(y) \neq 0^n \wedge \text{NEXT}(x) \neq 0^n)$ :

$0^n$  is balanced

O/w:

$0^n$  is not balanced

FNP



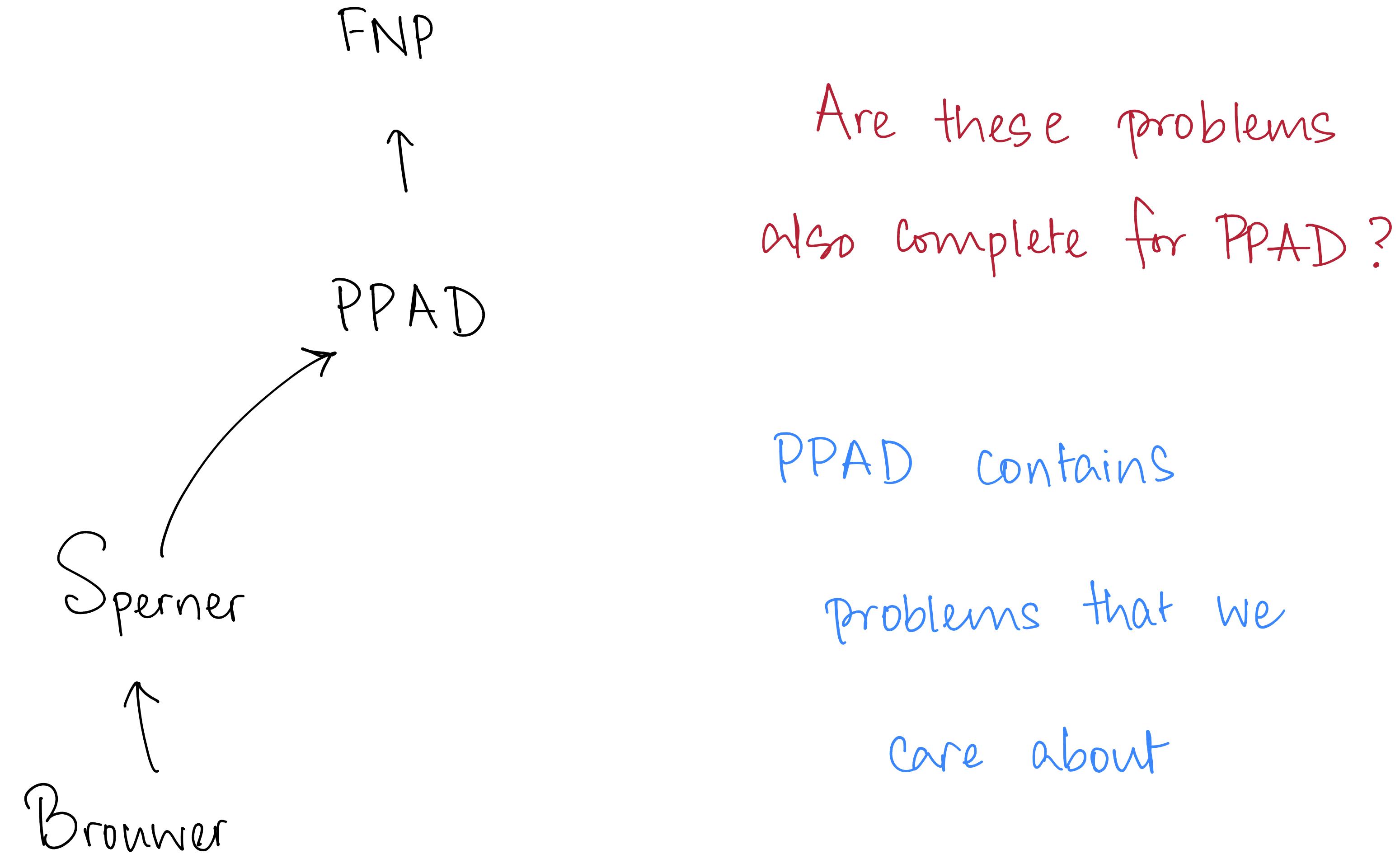
PPAD

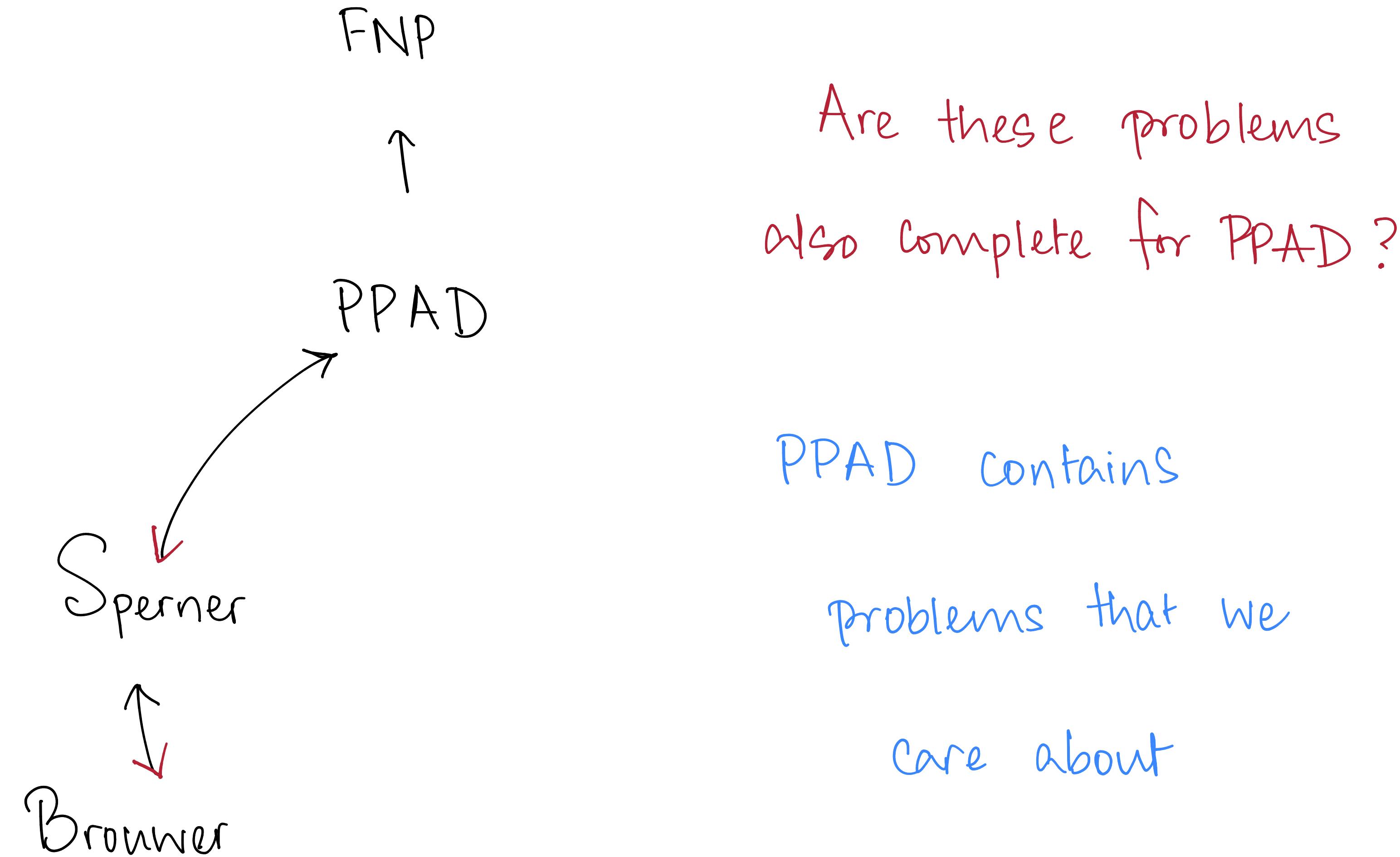
Sperner

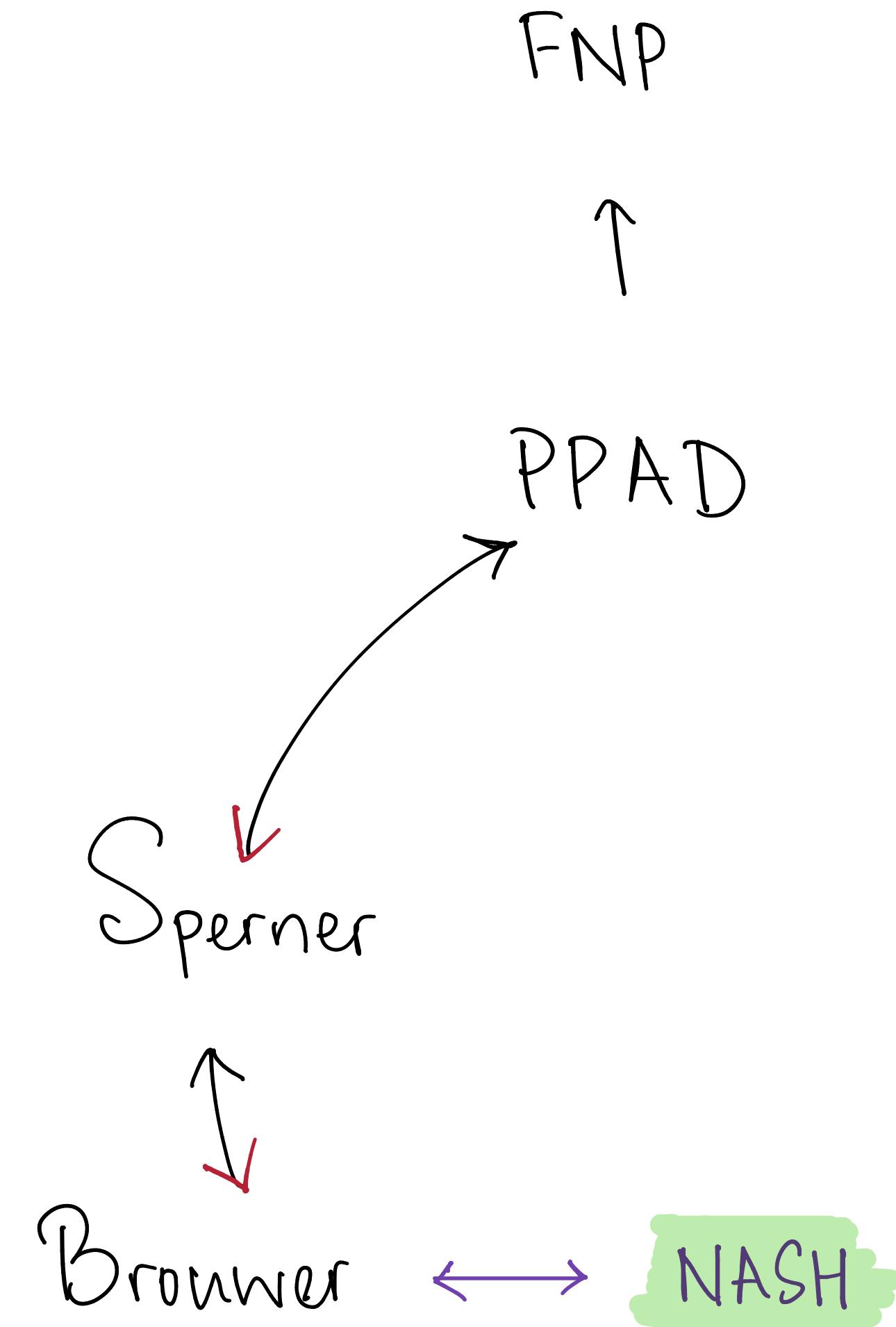


Brouwer

PPAD contains  
problems that we  
care about







Are these problems  
also complete for PPAD?

PPAD contains  
problems that we  
care about

# **A Combinatorial Problem Which Is Complete in Polynomial Space**

**S. EVEN**

*Technion, Haifa, Israel*

**AND**

**R. E. TARJAN**

*Stanford University, Stanford, California*

**ABSTRACT** This paper considers a generalization, called the Shannon switching game on vertices, of a familiar board game called Hex. It is shown that determining who wins such a game if each player plays perfectly is very hard, in fact, if this game problem is solvable in polynomial time, then any problem solvable in polynomial space is solvable in polynomial time. This result suggests that the theory of combinatorial games is difficult.

# Hex is PSPACE-complete

Stefan Reisch

Universität Bielefeld, Fakultät für Mathematik, Bielefeld, Germany

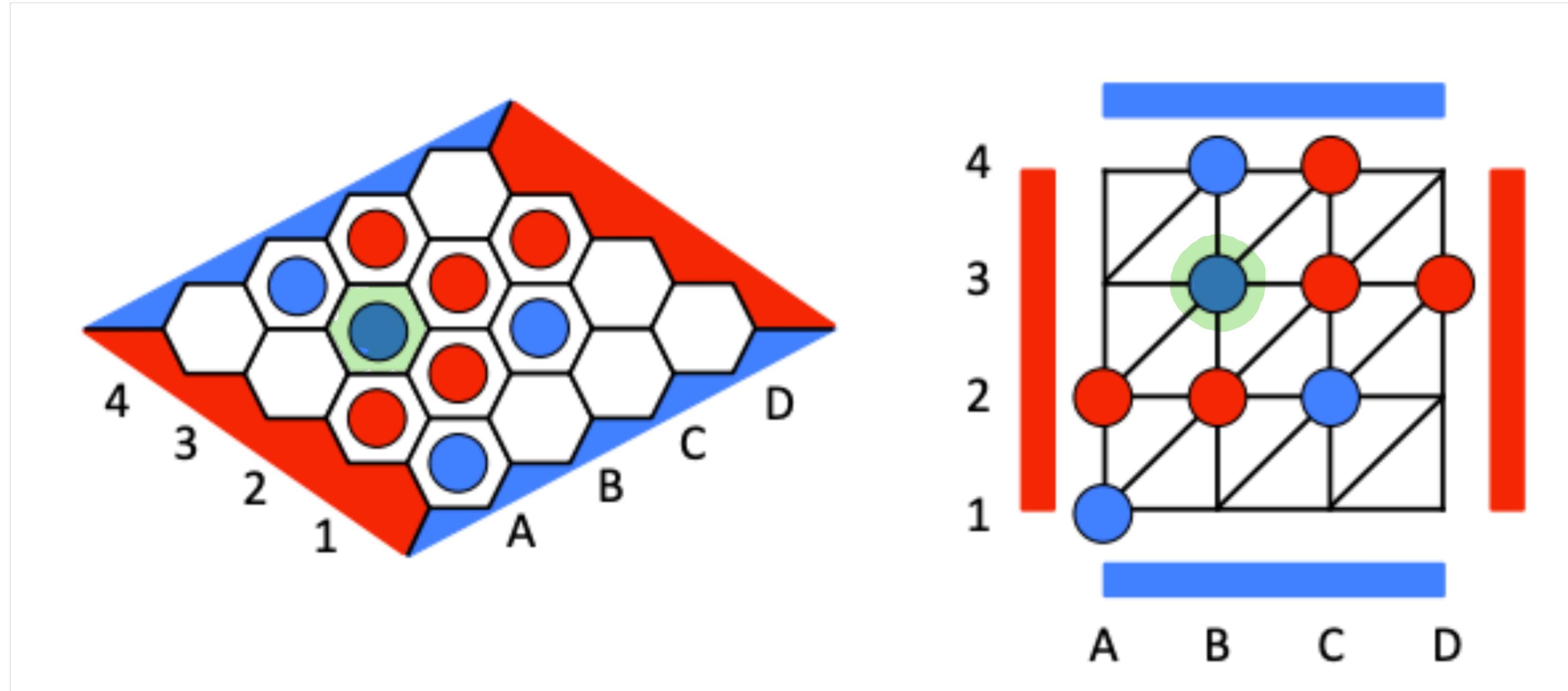
## Hex is PSPACE-complete

**Summary.** There are a number of board games such as Checkers [2], Go [5], and Gobang [8], which are known to be PSPACE-hard. This means that the problem to determine the player having a winning strategy in a given situation on an  $n \times n$  board of one of these games is as hard to solve as any problem computable in polynomial space. PSPACE-completeness has been previously proven for some combinatorial games played on graphs or by logical formulas [1, 9].

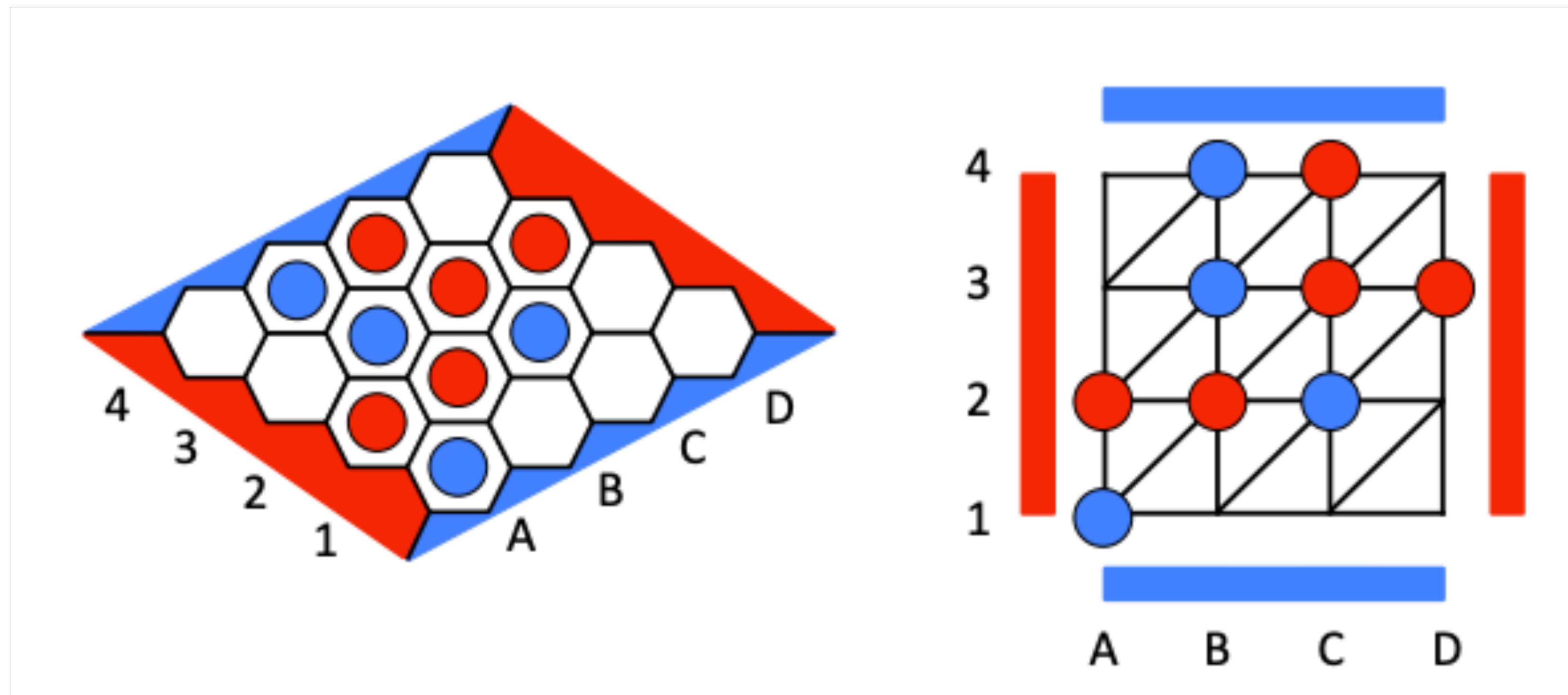
In this paper we will show that the same holds for the game of Hex.



# Hex & its dual graph representation



# Hex & its dual graph representation

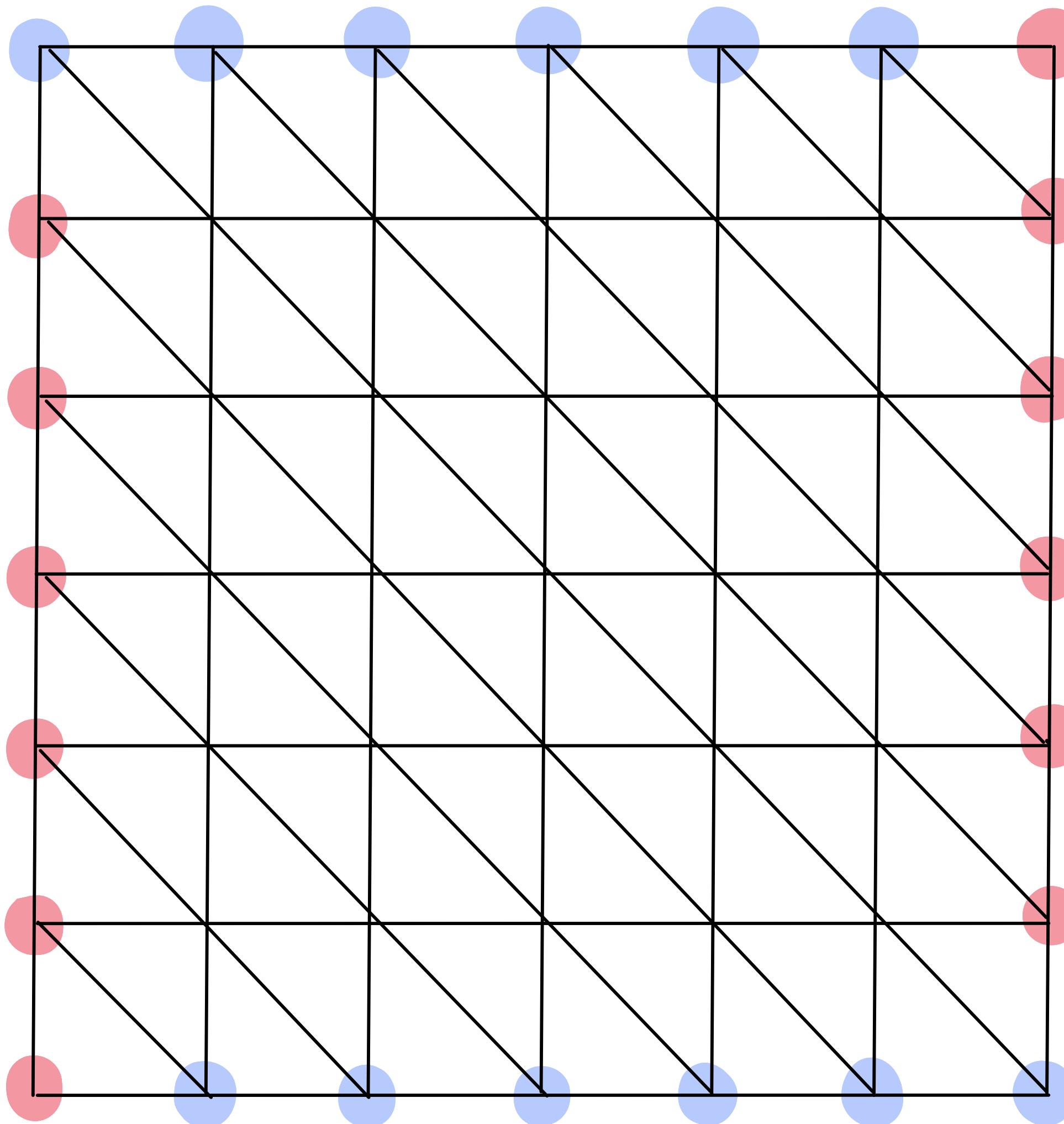


Goal.

Enter the board

w/ red on your left

& blue on your right



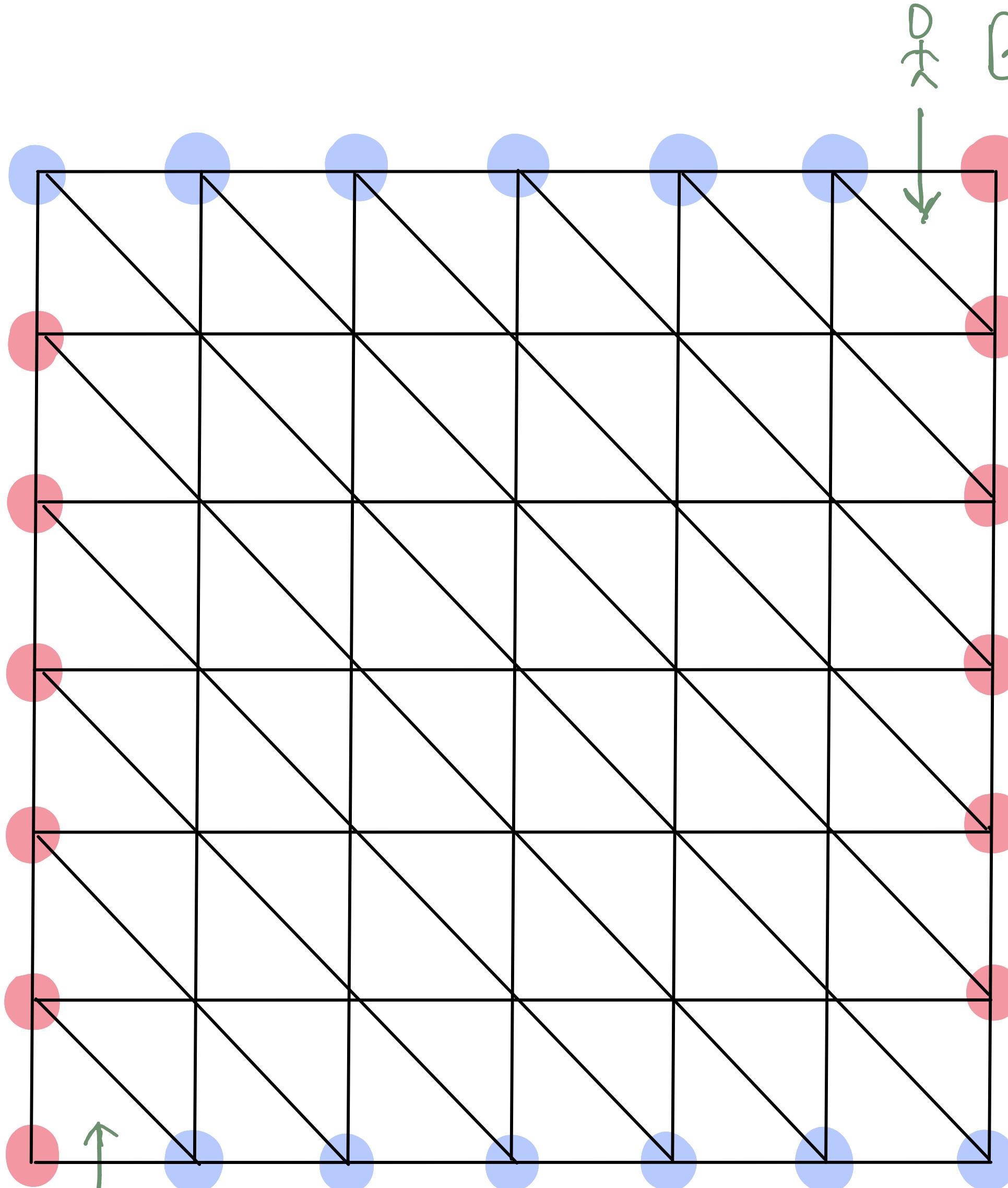
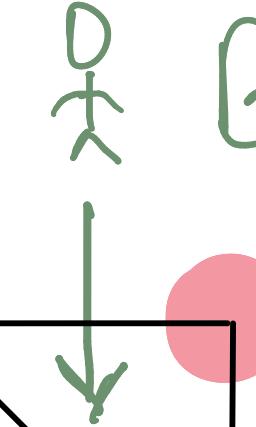
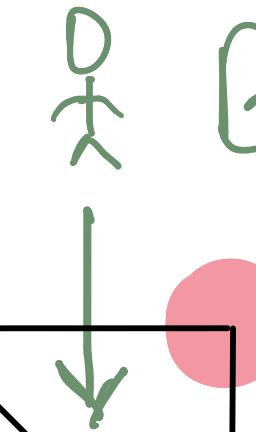
Goal.

Enter the board

w/ red on your left

& blue on your right

A



Enter the board

w/ red on your left

& blue on your right

Goal.

